

# Major Subfields and Concepts of Electrical Engineering



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WORLD TECHNOLOGIES

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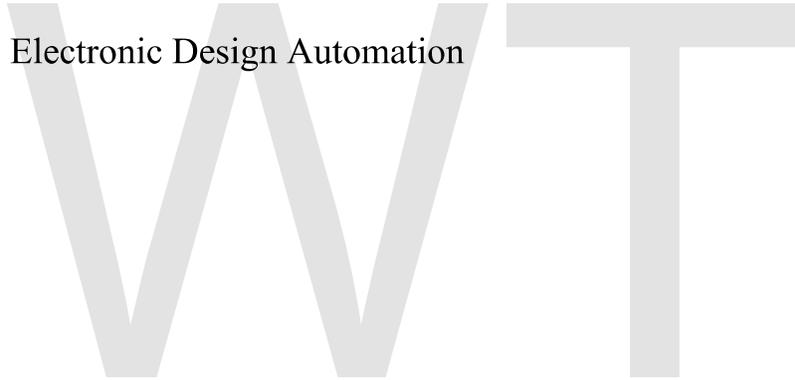
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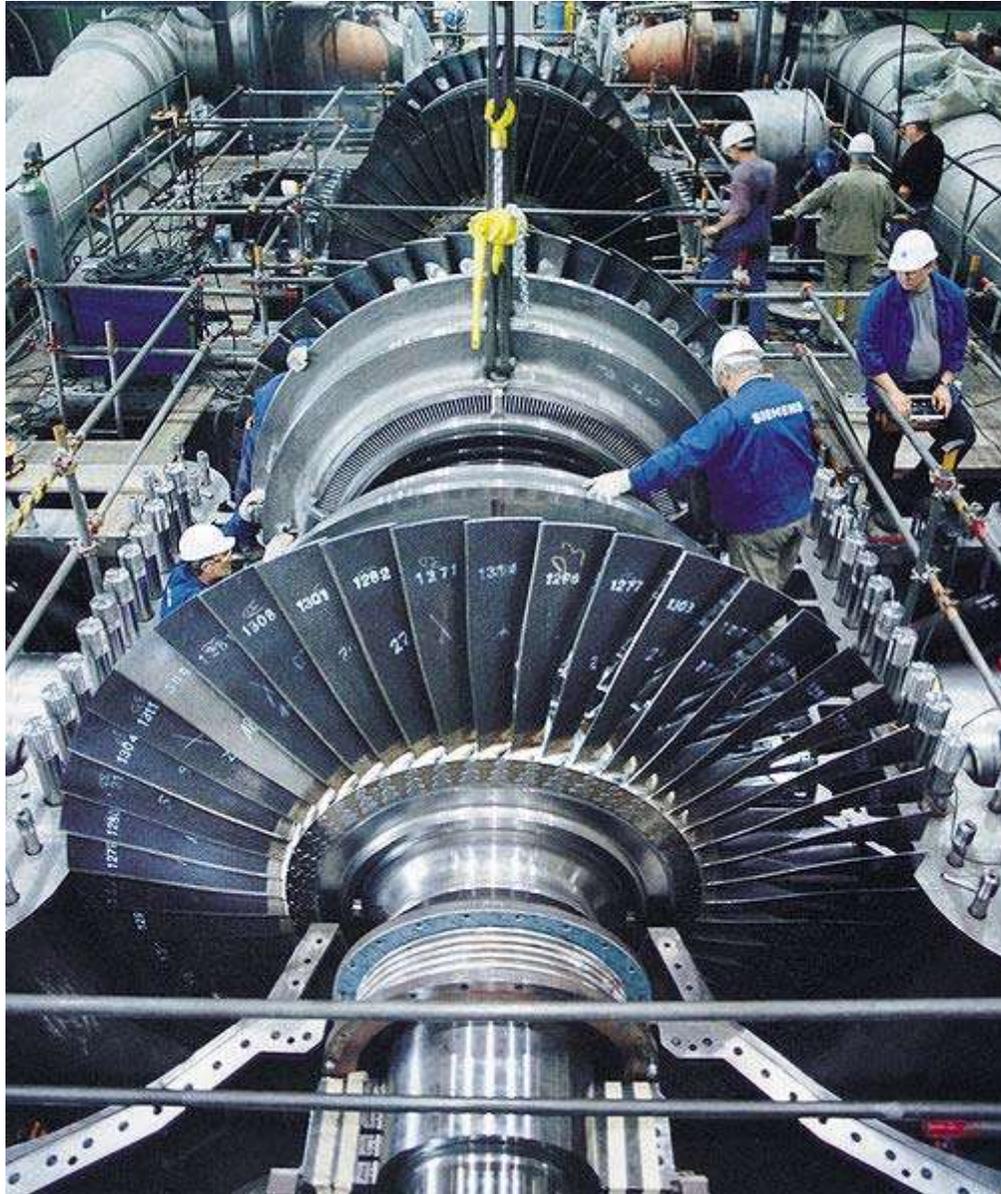


## Chapter- 1

# Power Engineering



Power pole



A steam turbine used to provide electric power

**Power engineering**, also called **power systems engineering**, is a subfield of engineering that deals with the generation, transmission and distribution of electric power as well as the electrical devices connected to such systems including generators, motors and transformers. Although much of the field is concerned with the problems of three-phase AC power - the standard for large-scale power transmission and distribution across the modern world - a significant fraction of the field is concerned with the conversion between AC and DC power as well as the development of specialised power systems such as those used in aircraft or for electric railway networks.

## History



A sketch of the Pearl Street Station

Electricity became a subject of scientific interest in the late 17th century with the work of William Gilbert. Over the next two centuries a number of important discoveries were made including the incandescent lightbulb and the voltaic pile. Probably the greatest discovery with respect to power engineering came from Michael Faraday who in 1831 discovered that a change in magnetic flux induces an electromotive force in a loop of wire—a principle known as electromagnetic induction that helps explain why generators and transformers work.

In 1881 two electricians built the world's first power station at Godalming in England. The station employed two waterwheels to produce an alternating current that was used to supply seven Siemens arc lamps at 250 volts and thirty-four incandescent lamps at 40 volts. However supply was intermittent and in 1882 Thomas Edison and his company, The Edison Electric Light Company, developed the first steam-powered electric power station on Pearl Street in New York City. The Pearl Street Station consisted of several generators and initially powered around 3,000 lamps for 59 customers. The power station used direct current and operated at a single voltage. Since the direct current power could not be easily transformed to the higher voltages necessary to minimise power loss during transmission, the possible distance between the generators and load was limited to around half-a-mile (800 m).

That same year in London Lucien Gaulard and John Dixon Gibbs demonstrated the first transformer suitable for use in a real power system. The practical value of Gaulard and Gibbs' transformer was demonstrated in 1884 at Turin where the transformer was used to light up forty kilometres (25 miles) of railway from a single alternating current generator. Despite the success of the system, the pair made some fundamental mistakes. Perhaps the most serious was connecting the primaries of the transformers in series so that switching one lamp on or off would affect other lamps further down the line. Following the demonstration George Westinghouse, an American entrepreneur, imported a number of the transformers along with a Siemens generator and set his engineers to experimenting with them in the hopes of improving them for use in a commercial power system.

One of Westinghouse's engineers, William Stanley, recognised the problem with connecting transformers in series as opposed to parallel and also realised that making the iron core of a transformer a fully-enclosed loop would improve the voltage regulation of the secondary winding. Using this knowledge he built a much improved alternating current power system at Great Barrington, Massachusetts in 1886. Then in 1887 and 1888 another engineer called Nikola Tesla filed a range of patents related to power systems including one for a two-phase induction motor. Although Tesla cannot necessarily be attributed with building the first induction motor, his design, unlike others, was practical for industrial use.

By 1890 the power industry had flourished and power companies had built literally thousands of power systems (both direct and alternating current) in the United States and Europe - these networks were effectively dedicated to providing electric lighting. During this time a fierce rivalry known as the "War of Currents" emerged between Edison, Westinghouse and Tesla over which form of transmission (direct or alternating current) was superior. In 1891, Westinghouse installed the first major power system that was designed to drive an electric motor and not just provide electric lighting. The installation powered a 100 horsepower (75 kW) synchronous motor at Telluride, Colorado with the motor being started by a Tesla induction motor. On the other side of the Atlantic, Oskar von Miller built a 20 kV 176 km three-phase transmission line from Lauffen am Neckar to Frankfurt am Main for the Electrical Engineering Exhibition in Frankfurt. In 1895, after a protracted decision-making process, the Adams No. 1 generating station at Niagara Falls began transmitting three-phase alternating current power to Buffalo at 11 kV. Following completion of the Niagara Falls project, new power systems increasingly chose alternating current as opposed to direct current for electrical transmission.

Although the 1880s and 1890s were seminal decades in the field, developments in power engineering continued throughout the 20th and 21st century. In 1936 the first commercial HVDC (high voltage direct current) line using Mercury arc valves was built between Schenectady and Mechanicville, New York. HVDC had previously been achieved by installing direct current generators in series (a system known as the Thury system) although this suffered from serious reliability issues. In 1957 Siemens demonstrated the first solid-state rectifier (solid-state rectifiers are now the standard for HVDC systems) however it was not until the early 1970s that this technology was used in commercial power systems. In 1959 Westinghouse demonstrated the first circuit breaker that used SF<sub>6</sub>

as the interrupting medium. SF<sub>6</sub> is a far superior dielectric to air and, in recent times, its use has been extended to produce far more compact switching equipment (known as switchgear) and transformers. Many important developments also came from extending innovations in the information technology and telecommunications field to the power engineering field. For example, the development of computers meant load flow studies could be run more efficiently allowing for much better planning of power systems. Advances in information technology and telecommunication also allowed for much better remote control of the power system's switchgear and generators.

## Basics of electric power



An external AC to DC power adapter used for household appliances

Electric power is the mathematical product of two quantities: current and voltage. These two quantities can vary with respect to time (AC power) or can be kept at constant levels (DC power).

Most refrigerators, air conditioners, pumps and industrial machinery use AC power whereas most computers and digital equipment use DC power (the digital devices you plug into the mains typically have an internal or external power adapter to convert from AC to DC power). AC power has the advantage of being easy to transform between

voltages and is able to be generated and utilised by brushless machinery. DC power remains the only practical choice in digital systems and can be more economical to transmit over long distances at very high voltages.

The ability to easily transform the voltage of AC power is important for two reasons: Firstly, power can be transmitted over long distances with less loss at higher voltages. So in power networks where generation is distant from the load, it is desirable to step-up the voltage of power at the generation point and then step-down the voltage near the load. Secondly, it is often more economical to install turbines that produce higher voltages than would be used by most appliances, so the ability to easily transform voltages means this mismatch between voltages can be easily managed.

Solid state devices, which are products of the semiconductor revolution, make it possible to transform DC power to different voltages, build brushless DC machines and convert between AC and DC power. Nevertheless devices utilising solid state technology are often more expensive than their traditional counterparts, so AC power remains in widespread use.

## Power



Transmission lines transmit power across the grid

Power Engineering deals with the generation, transmission and distribution of electricity as well as the design of a range of related devices. These include transformers, electric generators, electric motors and power electronics.

The power grid is an electrical network that connects a variety of electric generators to the users of electric power. Users purchase electricity from the grid avoiding the costly exercise of having to generate their own. Power engineers may work on the design and maintenance of the power grid as well as the power systems that connect to it. Such systems are called on-grid power systems and may supply the grid with additional power, draw power from the grid or do both.

Power engineers may also work on systems that do not connect to the grid. These systems are called off-grid power systems and may be used in preference to on-grid systems for a variety of reasons. For example, in remote locations it may be cheaper for a mine to generate its own power rather than pay for connection to the grid and in most mobile applications connection to the grid is simply not practical.

Today, most grids adopt three-phase electric power with alternating current. This choice can be partly attributed to the ease with which this type of power can be generated, transformed and used. Often (especially in the USA), the power is split before it reaches residential customers whose low-power appliances rely upon single-phase electric power. However, many larger industries and organizations still prefer to receive the three-phase power directly because it can be used to drive highly efficient electric motors such as three-phase induction motors.

Transformers play an important role in power transmission because they allow power to be converted to and from higher voltages. This is important because higher voltages suffer less power loss during transmission. This is because higher voltages allow for lower current to deliver the same amount of power, as power is the product of the two. Thus, as the voltage steps up, the current steps down. It is the current flowing through the components that result in both the losses and the subsequent heating. These losses, appearing in the form of heat, are equal to the current squared times the electrical resistance through which the current flows, so as the voltage goes up the losses are dramatically reduced.

For these reasons, electrical substations exist throughout power grids to convert power to higher voltages before transmission and to lower voltages suitable for appliances after transmission.

## **Components**

Power engineering is a network of interconnected components which convert different forms of energy to electrical energy. Modern power engineering consists of three main subsystems: the generation subsystem, the transmission subsystem, and the distribution subsystem. In the generation subsystem, the power plant produces the electricity. The

transmission subsystem transmits the electricity to the load centers. The distribution subsystem continues to transmit the power to the customers.

## **Generation**

Generation of electrical power is a process whereby energy is transformed into an electrical form. There are several different transformation processes, among which are chemical, photo-voltaic, and electromechanical. Electromechanical energy conversion is used in converting energy from coal, petroleum, natural gas, uranium, water flow, and wind into electrical energy. Of these, all except the wind energy conversion process take advantage of the synchronous AC generator coupled to a steam, gas or hydro turbine such that the turbine converts steam, gas, or water flow into rotational energy, and the synchronous generator then converts the rotational energy of the turbine into electrical energy. It is the turbine-generator conversion process that is by far most economical and consequently most common in the industry today.

The AC synchronous machine is the most common technology for generating electrical energy. It is called synchronous because the composite magnetic field produced by the three stator windings rotate at the same speed as the magnetic field produced by the field winding on the rotor. A simplified circuit model is used to analyze steady-state operating conditions for a synchronous machine. The phasor diagram is an effective tool for visualizing the relationships between internal voltage, armature current, and terminal voltage. The excitation control system is used on synchronous machines to regulate terminal voltage, and the turbine-governor system is used to regulate the speed of the machine.

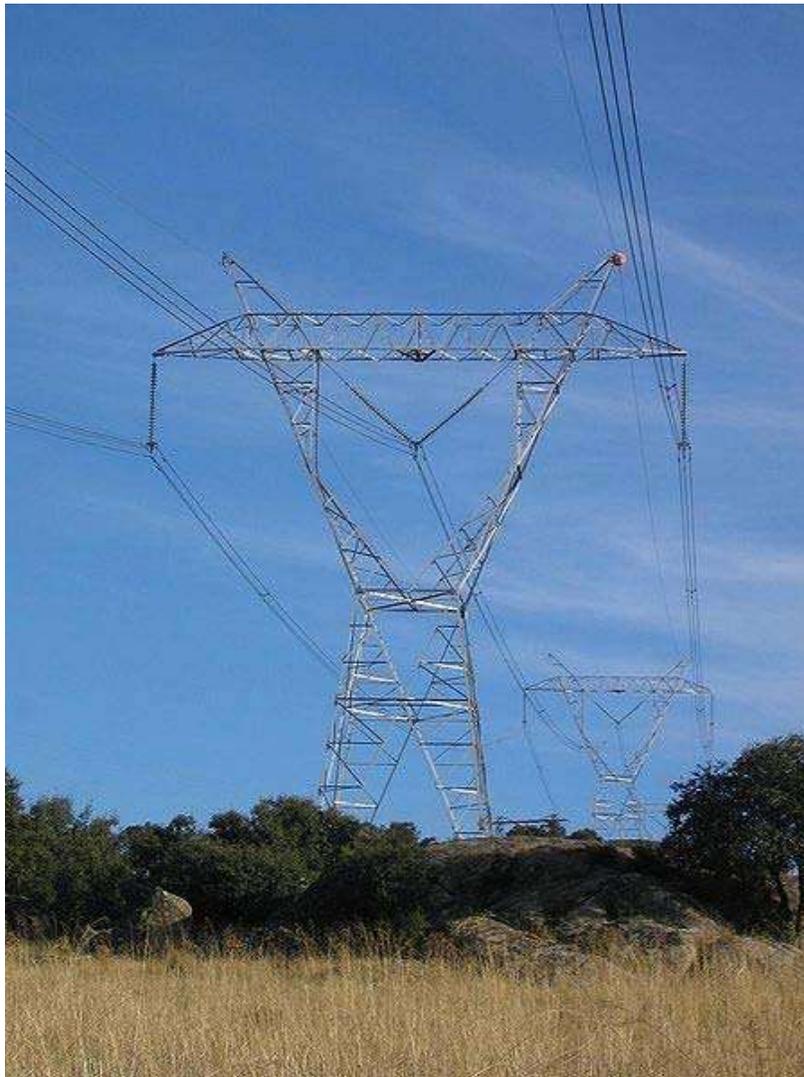
The operating costs of generating electrical energy is determined by the fuel cost and the efficiency of the power station. The efficiency depends on generation level and can be obtained from the heat rate curve. We may also obtain the incremental cost curve from the heat rate curve. Economic dispatch is the process of allocating the required load demand between the available generation units such that the cost of operation is minimized.

## **Distribution**

The distribution system transports the power from the transmission system to the customer. The distribution systems are typically radial because networked systems are more expensive. The equipment associated with the distribution system includes the substation transformers connected to the transmission systems, the distribution lines from the transformers to the customers and the protection and control equipment between the transformer and the customer. The protection equipment includes lightning protectors, circuit breakers, disconnectors and fuses. The control equipment includes voltage regulators, capacitors, relays and demand side management equipment.

## Chapter- 2

# Electric Power Transmission



400 kV high-tension transmission lines near Madrid

**Electric power transmission** or "high voltage electric transmission" is the bulk transfer of electrical energy, from generating power plants to substations located near to population centers. This is distinct from the local wiring between high voltage substations

and customers, which is typically referred to as electricity distribution. Transmission lines, when interconnected with each other, become high voltage transmission networks. In the US, these are typically referred to as "power grids" or sometimes simply as "the grid", while in the UK the network is known as the "national grid." North America has three major grids: The Western Interconnection; The Eastern Interconnection and the Electric Reliability Council of Texas (or ERCOT) grid.

Historically, transmission and distribution lines were owned by the same company, but over the last decade or so many countries have introduced market reforms that have led to the separation of the electricity transmission business from the distribution business.

Transmission lines mostly use three phase alternating current (AC), although single phase AC is sometimes used in railway electrification systems. High-voltage direct current (HVDC) technology is used only for very long distances (typically greater than 400 miles, or 600 km); undersea cables (typically longer than 30 miles, or 50 km); or for connecting two AC networks that are not synchronized.

Electricity is transmitted at high voltages (110 kV or above) to reduce the energy lost in long distance transmission. Power is usually transmitted through overhead power lines. Underground power transmission has a significantly higher cost and greater operational limitations but is sometimes used in urban areas or sensitive locations.

A key limitation in the distribution of electricity is that, with minor exceptions, electrical energy cannot be stored, and therefore it must be generated as it is needed. A sophisticated system of control is therefore required to ensure electric generation very closely matches the demand. If supply and demand are not in balance, generation plants and transmission equipment can shut down which, in the worst cases, can lead to a major regional blackout, such as occurred in California and the US Northwest in 1996 and in the US Northeast in 1965, 1977 and 2003. To reduce the risk of such failures, electric transmission networks are interconnected into regional, national or continental wide networks thereby providing multiple redundant alternate routes for power to flow should (weather or equipment) failures occur. Much analysis is done by transmission companies to determine the maximum reliable capacity of each line which is mostly less than its physical or thermal limit, to ensure spare capacity is available should there be any such failure in another part of the network.

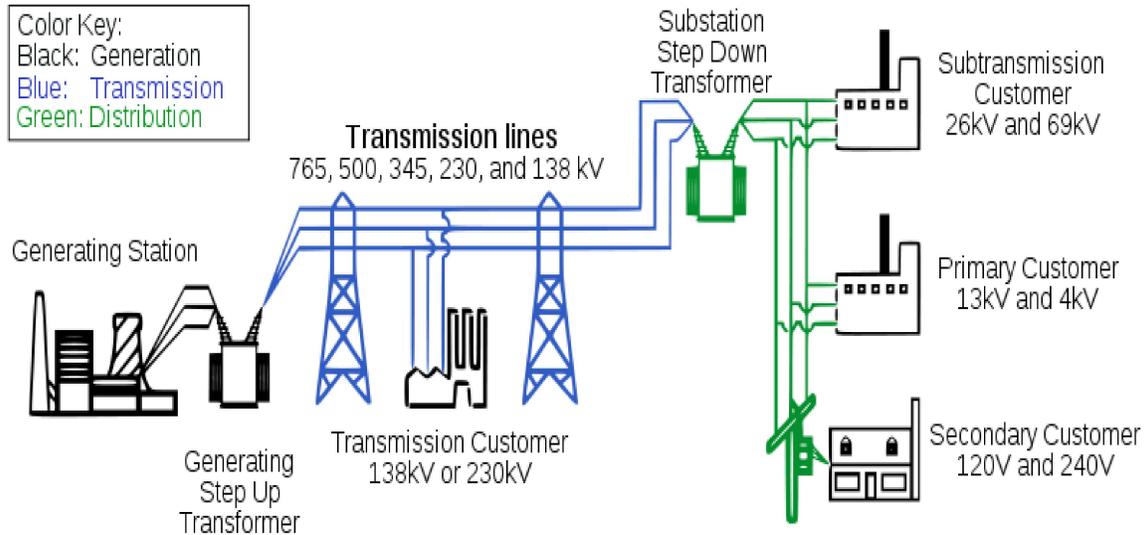
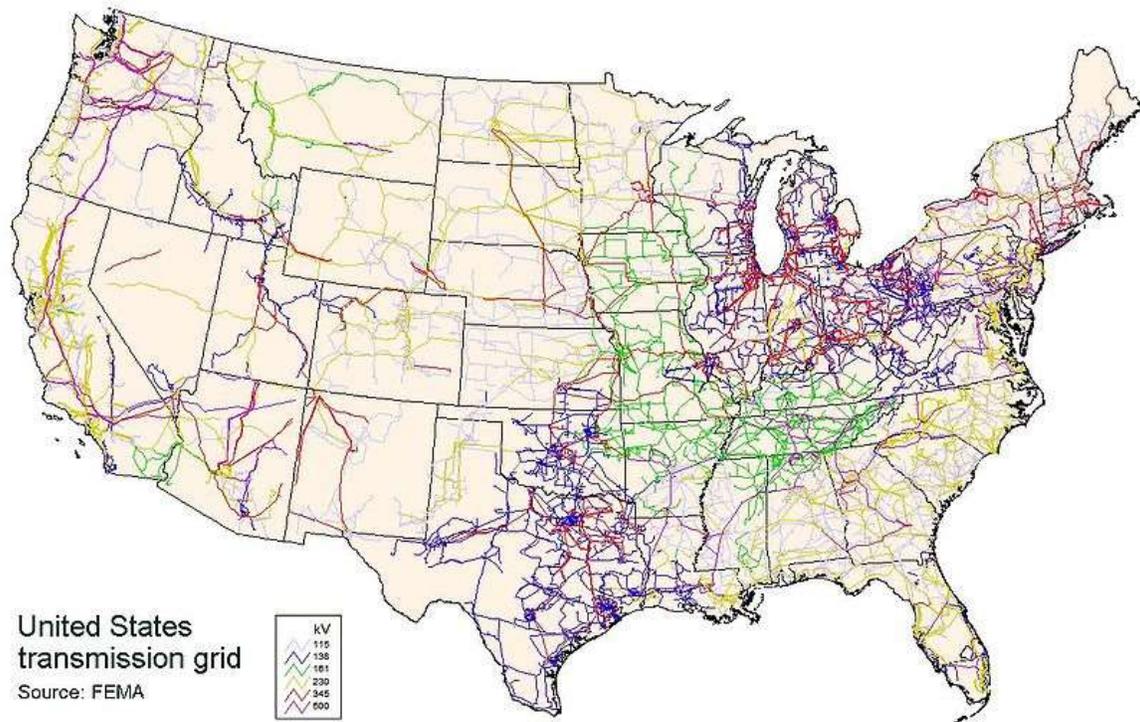


Diagram of an electrical system

## Overhead transmission

High-voltage overhead conductors are not covered by insulation. The conductor material is nearly always an aluminium alloy, made into several strands and possibly reinforced with steel strands. Copper was sometimes used for overhead transmission but aluminium is lower in weight for equivalent performance, and much lower in cost. Overhead conductors are a commodity supplied by several companies worldwide. Improved conductor material and shapes are regularly used to allow increased capacity and modernize transmission circuits. Conductor sizes range from  $12 \text{ mm}^2$  (#6 American wire gauge) to  $750 \text{ mm}^2$  (1,590,000 circular mils area), with varying resistance and current-carrying capacity. Thicker wires would lead to a relatively small increase in capacity due to the skin effect, that causes most of the current to flow close to the surface of the wire.



Contiguous United States power transmission grid consists of 300,000 km of lines operated by 500 companies.

Today, transmission-level voltages are usually considered to be 110 kV and above. Lower voltages such as 66 kV and 33 kV are usually considered sub-transmission voltages but are occasionally used on long lines with light loads. Voltages less than 33 kV are usually used for distribution. Voltages above 230 kV are considered extra high voltage and require different designs compared to equipment used at lower voltages.

Since overhead transmission lines are uninsulated, design of these lines requires minimum clearances to be observed to maintain safety. Adverse weather conditions of high wind and low temperatures can lead to power outages: wind speeds as low as 23 knots (43 km/h) can permit conductors to encroach operating clearances, resulting in a flashover and loss of supply. Oscillatory motion of the physical line can be termed gallop or flutter depending on the frequency and amplitude of oscillation.

## Underground transmission

Electric power can also be transmitted by underground power cables instead of overhead power lines. They can assist the transmission of power across:

- Densely populated urban areas
- Areas where land is unavailable or planning consent is difficult
- Rivers and other natural obstacles

- Land with outstanding natural or environmental heritage
- Areas of significant or prestigious infrastructural development
- Land whose value must be maintained for future urban expansion and rural development

Some other advantages of underground power cables:

- Less subject to damage from severe weather conditions (mainly lightning, wind and freezing)
- Greatly reduced emission, into the surrounding area, of electromagnetic fields (EMF). All electric currents generate EMF, but the shielding provided by the earth surrounding underground cables restricts their range and power.
- Underground cables need a narrower surrounding strip of about 1–10 meters to install, whereas an overhead line requires a surrounding strip of about 20–200 meters wide to be kept permanently clear for safety, maintenance and repair.
- Underground cables pose no hazard to low flying aircraft or to wildlife, and are significantly safer as they pose no shock hazard (except to the unwary digger).
- Much less subject to conductor theft, illegal connections, sabotage, and damage from armed conflict.

Some disadvantages of underground power cables:

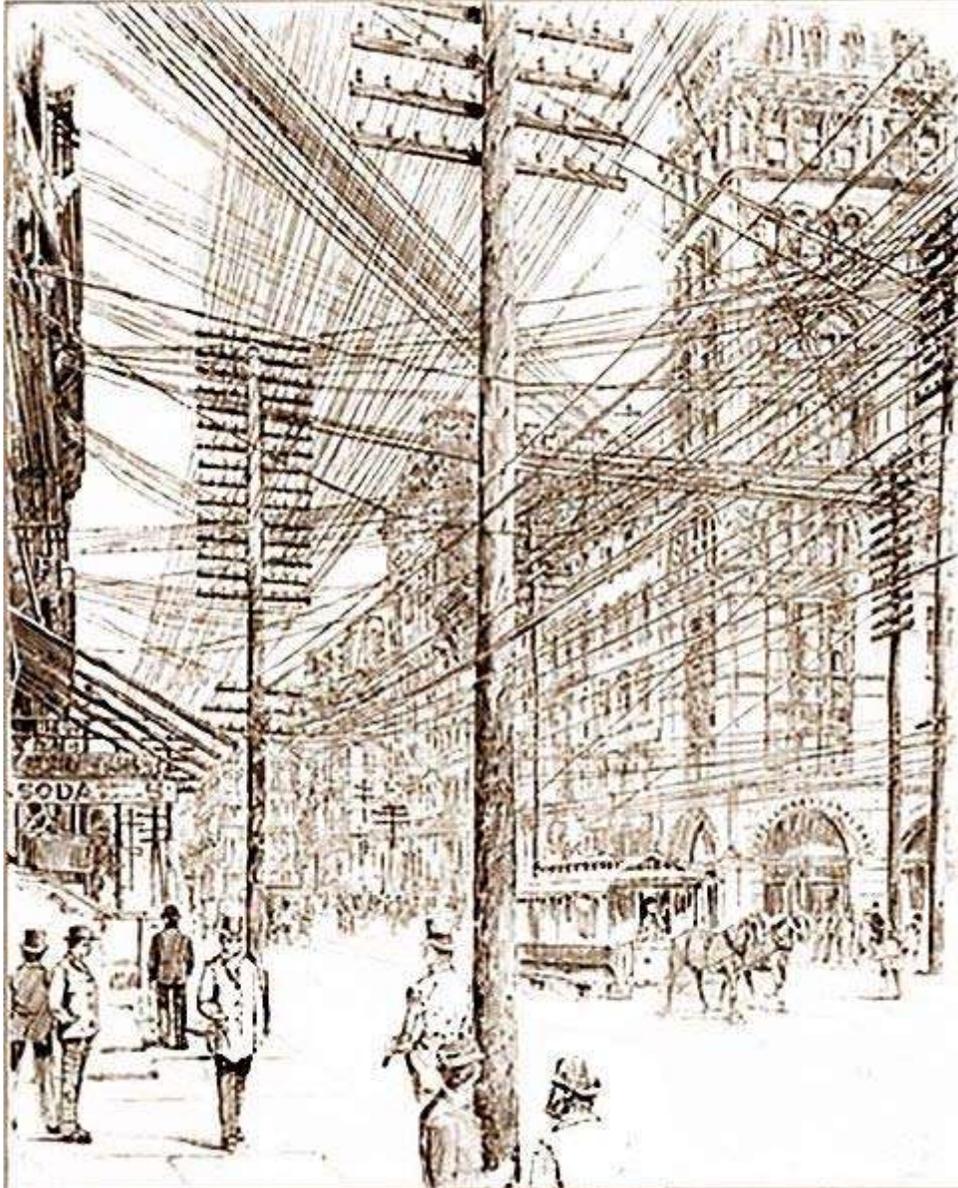
- Undergrounding is more expensive, since the cost of burying cables at transmission voltages is several times greater than overhead power lines, and the life-cycle cost of an underground power cable is two to four times the cost of an overhead power line. Above ground lines cost around \$10 per foot and underground lines cost in the range of \$20 to \$40 per foot.
- Whereas finding and repairing overhead wire breaks can be accomplished in hours, underground repairs can take days or weeks, and for this reason redundant lines are run.
- Underground power cables, due to their proximity to earth, cannot be maintained live, whereas overhead power cables can be.
- Operations are more difficult since the high reactive power of underground cables produces large charging currents and so makes voltage control more difficult.

The advantages can in some cases outweigh the disadvantages of the higher investment cost, and more expensive maintenance and management.

Most high voltage cables for power transmission that are currently sold on the market are insulated by a sheath of cross-linked polyethylene (XLPE). Some cables may have a lead or aluminium jacket in conjunction with XLPE insulation to allow for fiber optics to be seamlessly integrated within the cable. Before 1960, underground power cables were insulated with oil and paper and ran in a rigid steel pipe, or a semi-rigid aluminium or lead jacket or sheath. The oil was kept under pressure to prevent formation of voids that would allow partial discharges within the cable insulation. There are still many of these oil-and-paper insulated cables in use worldwide. Between 1960 and 1990, polymers

became more widely used at distribution voltages, mostly EPDM (ethylene propylene diene M-class); however, their relative unreliability, particularly early XLPE, resulted in a slow uptake at transmission voltages. While cables of 330 kV are commonly constructed using XLPE, this has occurred only in recent decades.

## History



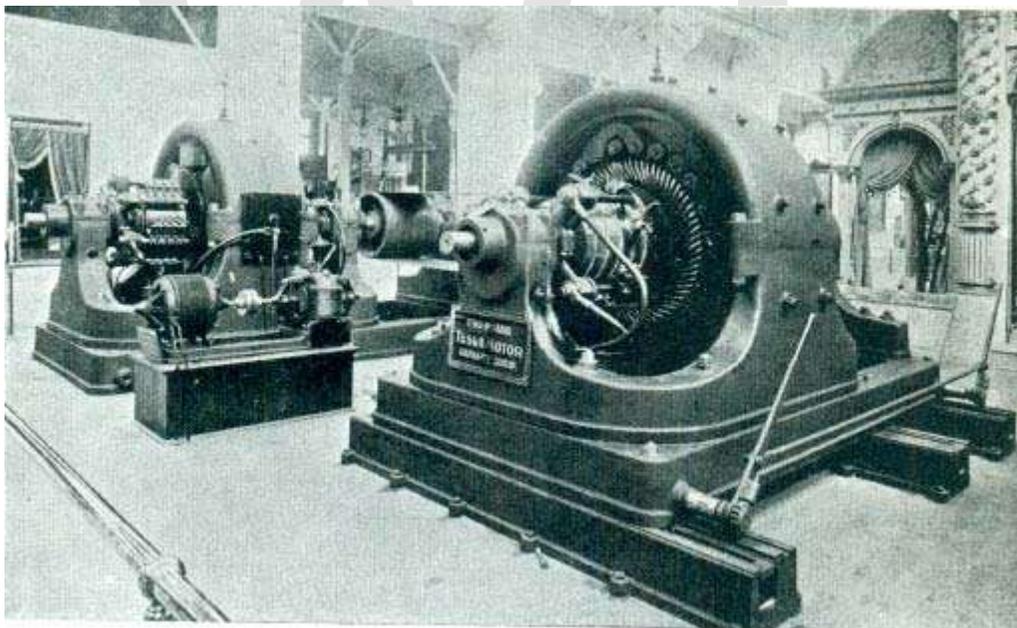
New York City streets in 1890. Besides telegraph lines, multiple electric lines were required for each class of device requiring different voltages.

In the early days of commercial electric power, transmission of electric power at the same voltage as used by lighting and mechanical loads restricted the distance between

generating plant and consumers. In 1882, generation was with direct current, which could not easily be increased in voltage for long-distance transmission. Different classes of loads (for example, lighting, fixed motors, and traction/railway systems) required different voltages, and so used different generators and circuits.

Due to this specialization of lines and because transmission was so inefficient that generators needed to be near their loads, it seemed at the time that the industry would develop into what is now known as a distributed generation system with large numbers of small generators located nearby their loads.

In 1886 in Great Barrington, Massachusetts, a 1 kV AC distribution system was installed. That same year, AC power at 2 kV, transmitted 30 km, was installed at Cerchi, Italy. At an AIEE meeting on May 16, 1888, Nikola Tesla delivered a lecture entitled *A New System of Alternating Current Motors and Transformers*, describing the equipment which allowed efficient generation and use of polyphase alternating currents. The transformer, and Tesla's polyphase and single-phase induction motors, were essential for a combined AC distribution system for both lighting and machinery. Ownership of the rights to the Tesla patents was a key advantage to the Westinghouse Company in offering a complete alternating current power system for both lighting and power.



Nikola Tesla's Alternating current polyphase generators on display at the 1893 World's Fair in Chicago. Tesla's polyphase innovations revolutionized transmission.

Regarded as one of the most influential electrical innovations, the *universal system* used transformers to step-up voltage from generators to high-voltage transmission lines, and then to step-down voltage to local distribution circuits or industrial customers. By a suitable choice of utility frequency, both lighting and motor loads could be served. Rotary converters and later mercury-arc valves and other rectifier equipment allowed DC

to be provided where needed. Generating stations and loads using different frequencies could be interconnected using rotary converters. By using common generating plants for every type of load, important economies of scale were achieved, lower overall capital investment was required, load factor on each plant was increased allowing for higher efficiency, a lower cost for the consumer and increased overall use of electric power.

By allowing multiple generating plants to be interconnected over a wide area, electricity production cost was reduced. The most efficient available plants could be used to supply the varying loads during the day. Reliability was improved and capital investment cost was reduced, since stand-by generating capacity could be shared over many more customers and a wider geographic area. Remote and low-cost sources of energy, such as hydroelectric power or mine-mouth coal, could be exploited to lower energy production cost.

The first transmission of three-phase alternating current using high voltage took place in 1891 during the international electricity exhibition in Frankfurt. A 25 kV transmission line, approximately 175 km long, connected Lauffen on the Neckar and Frankfurt.

Voltages used for electric power transmission increased throughout the 20th century. By 1914, fifty-five transmission systems each operating at more than 70 kV were in service. The highest voltage then used was 150 kV.

The rapid industrialization in the 20th century made electrical transmission lines and grids a critical part of the infrastructure in most industrialized nations. Interconnection of local generation plants and small distribution networks was greatly spurred by the requirements of World War I, where large electrical generating plants were built by governments to provide power to munitions factories. Later these plants were connected to supply civil loads through long-distance transmission.

## Bulk power transmission

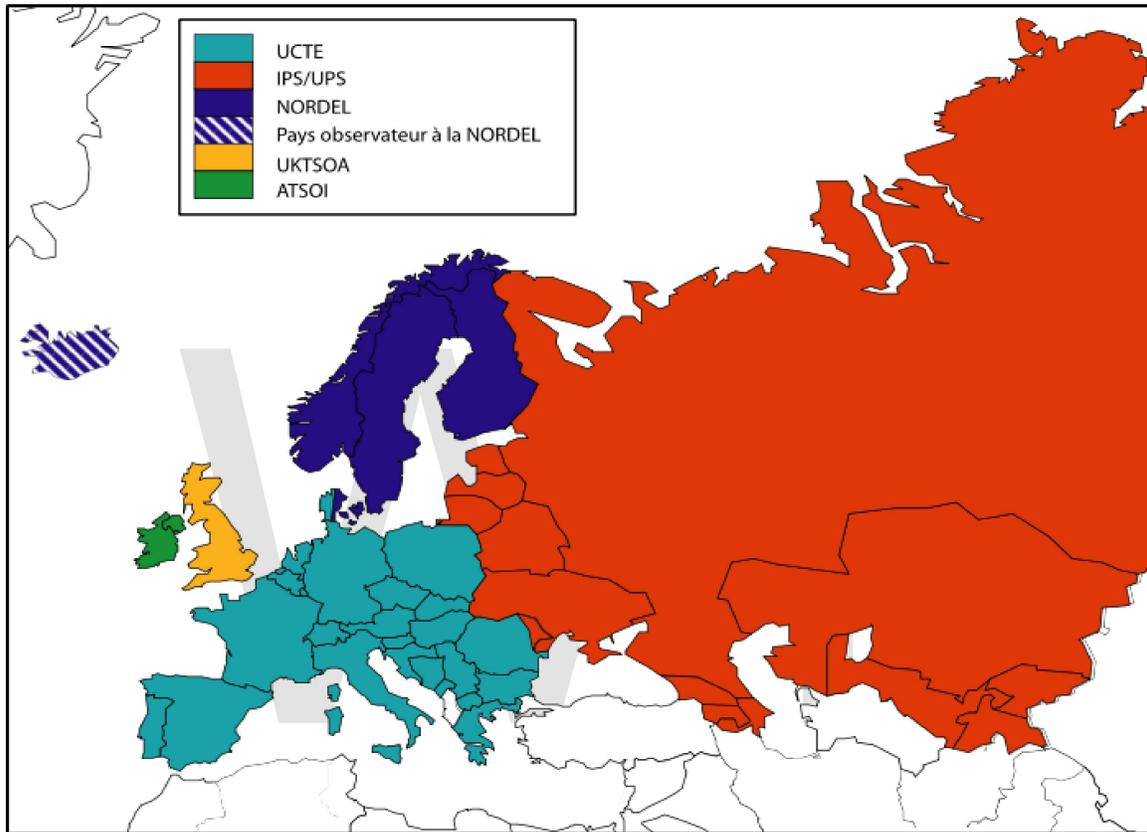


A transmission substation decreases the voltage of incoming electricity, allowing it to connect from long distance high voltage transmission, to local lower voltage distribution. It also reroutes power to other transmission lines that serve local markets. A transmission substation may include phase-shifting or voltage regulating transformers. This is the PacifiCorp Hale Substation, Orem, Utah, USA.

Engineers design transmission networks to transport the energy as efficiently as feasible, while at the same time taking into account economic factors, network safety and redundancy. These networks use components such as power lines, cables, circuit breakers, switches and transformers. The transmission network is usually administered on a regional basis by an entity such as a regional transmission organization or transmission system operator.

Transmission efficiency is improved by increasing the voltage using a step-up transformer, which reduces the current in the conductors, while keeping the power transmitted nearly equal to the power input. The reduced current flowing through the line reduces the losses in the conductor. According to Joule's Law, energy losses are directly proportional to the square of the current. Thus, reducing the current (amperage) by a factor of 2 will lower the energy lost to conductor resistance by a factor of 4.

A transmission grid is a network of power stations, transmission circuits, and substations. Energy is usually transmitted within the grid with three-phase AC. DC systems require relatively costly conversion equipment which may be economically justified for particular projects. Single phase AC is used only for distribution to end users since it is not usable for large polyphase induction motors. In the 19th century, two-phase transmission was used but required either three wires with unequal currents or four wires. Higher order phase systems require more than three wires, but deliver marginal benefits.



The synchronous grids of Eurasia

The capital cost of electric power stations is so high, and electric demand is so variable, that it is often cheaper to import some portion of the needed power than to generate it locally. Because nearby loads are often correlated (hot weather in the Southwest portion of the US might cause many people to use air conditioners), electricity often comes from distant sources. Because of the economics of load balancing, wide area transmission grids now span across countries and even large portions of continents. The web of interconnections between power producers and consumers ensures that power can flow, even if a few links are inoperative.

The unvarying (or slowly varying over many hours) portion of the electric demand is known as the *base load* and is generally served best by large facilities (which are therefore efficient due to economies of scale) with low variable costs for fuel and operations. Such facilities might be nuclear or coal-fired power stations, or hydroelectric,

while other renewable energy sources such as concentrated solar thermal and geothermal power have the potential to provide base load power. Renewable energy sources such as solar photovoltaics, wind, wave, and tidal are, due to their intermittency, not considered "base load" but can still add power to the grid. The remaining power demand, if any, is supplied by peaking power plants, which are typically smaller, faster-responding, and higher cost sources, such as combined cycle or combustion turbine plants fueled by natural gas.



A high-power electrical transmission tower

Long-distance transmission of electricity (thousands of kilometers) is cheap and efficient, with costs of US\$0.005–0.02/kWh (compared to annual averaged large producer costs of US\$0.01–0.025/kWh, retail rates upwards of US\$0.10/kWh, and multiples of retail for instantaneous suppliers at unpredicted highest demand moments). Thus distant suppliers can be cheaper than local sources (e.g., New York City buys a lot of electricity from Canada). Multiple **local sources** (even if more expensive and infrequently used) can make the transmission grid more fault tolerant to weather and other disasters that can disconnect distant suppliers.

Long distance transmission allows remote renewable energy resources to be used to displace fossil fuel consumption. Hydro and wind sources can't be moved closer to populous cities, and solar costs are lowest in remote areas where local power needs are minimal. Connection costs alone can determine whether any particular renewable alternative is economically sensible. Costs can be prohibitive for transmission lines, but

various proposals for massive infrastructure investment in high capacity, very long distance super grid transmission networks could be recovered with modest usage fees.

## **Grid input**

At the generating plants the energy is produced at a relatively low voltage between about 2.3 kV and 30 kV, depending on the size of the unit. The generator terminal voltage is then stepped up by the power station transformer to a higher voltage (115 kV to 765 kV AC, varying by country) for transmission over long distances.

## **Losses**

Transmitting electricity at high voltage reduces the fraction of energy lost to resistance. For a given amount of power, a higher voltage reduces the current and thus the resistive losses in the conductor. For example, raising the voltage by a factor of 10 reduces the current by a corresponding factor of 10 and therefore the  $I^2R$  losses by a factor of 100, provided the same sized conductors are used in both cases. Even if the conductor size (cross-sectional area) is reduced 10-fold to match the lower current the  $I^2R$  losses are still reduced 10-fold. Long distance transmission is typically done with overhead lines at voltages of 115 to 1,200 kV. At extremely high voltages, more than 2 MV between conductor and ground, corona discharge losses are so large that they can offset the lower resistance loss in the line conductors.

Transmission and distribution losses in the USA were estimated at 7.2% in 1995 and 6.5% in 2007. In general, losses are estimated from the discrepancy between energy produced (as reported by power plants) and energy sold to end customers; the difference between what is produced and what is consumed constitute transmission and distribution losses.

As of 1980, the longest cost-effective distance for electricity was 7,000 km (4,300 mi), although all present transmission lines are considerably shorter.

In an alternating current circuit, the inductance and capacitance of the phase conductors can be significant. The currents that flow in these components of the circuit impedance constitute reactive power, which transmits no energy to the load. Reactive current causes extra losses in the transmission circuit. The ratio of real power (transmitted to the load) to apparent power is the power factor. As reactive current increases, the reactive power increases and the power factor decreases. For systems with low power factors, losses are higher than for systems with high power factors. Utilities add capacitor banks and other components (such as phase-shifting transformers; static VAR compensators; physical transposition of the phase conductors; and flexible AC transmission systems, FACTS) throughout the system to control reactive power flow for reduction of losses and stabilization of system voltage.

## **Transmission grid exit**

At the substations, transformers reduce the voltage to a lower level for distribution to commercial and residential users. This distribution is accomplished with a combination of sub-transmission (33 kV to 132 kV) and distribution (3.3 to 25 kV). Finally, at the point of use, the energy is transformed to low voltage (varying by country and customer requirements).

## **High-voltage direct current**

High voltage direct current (HVDC) is used to transmit large amounts of power over long distances or for interconnections between asynchronous grids. When electrical energy is required to be transmitted over very long distances, it is more economical to transmit using direct current instead of alternating current. For a long transmission line, the lower losses and reduced construction cost of a DC line can offset the additional cost of converter stations at each end. Also, at high AC voltages, significant (although economically acceptable) amounts of energy are lost due to corona discharge, the capacitance between phases or, in the case of buried cables, between phases and the soil or water in which the cable is buried.

HVDC is also used for long submarine cables because over about 30 km length AC can no longer be applied. In that case special high voltage cables for DC are built. Many submarine cable connections - up to 600 km length - are in use nowadays.

HVDC links are sometimes used to stabilize against control problems with the AC electricity flow. In other words, to transmit AC power as AC when needed in either direction between Seattle and Boston would require the (highly challenging) continuous real-time adjustment of the relative phase of the two electrical grids. With HVDC instead the interconnection would: (1) Convert AC in Seattle into HVDC. (2) Use HVDC for the three thousand miles of cross country transmission. Then (3) convert the HVDC to locally synchronized AC in Boston, and optionally in other cooperating cities along the transmission route. One prominent example of such a transmission line is the Pacific DC Intertie located in the Western United States.

## **Limitations**

The amount of power that can be sent over a transmission line is limited. The origins of the limits vary depending on the length of the line. For a short line, the heating of conductors due to line losses sets a thermal limit. If too much current is drawn, conductors may sag too close to the ground, or conductors and equipment may be damaged by overheating. For intermediate-length lines on the order of 100 km (62 mi), the limit is set by the voltage drop in the line. For longer AC lines, system stability sets the limit to the power that can be transferred. Approximately, the power flowing over an AC line is proportional to the sine of the phase angle of the voltage at the receiving and transmitting ends. Since this angle varies depending on system loading and generation, it

is undesirable for the angle to approach 90 degrees. Very approximately, the allowable product of line length and maximum load is proportional to the square of the system voltage. Series capacitors or phase-shifting transformers are used on long lines to improve stability. High-voltage direct current lines are restricted only by thermal and voltage drop limits, since the phase angle is not material to their operation.

Up to now, it has been almost impossible to foresee the temperature distribution along the cable route, so that the maximum applicable current load was usually set as a compromise between understanding of operation conditions and risk minimization. The availability of industrial Distributed Temperature Sensing (DTS) systems that measure in real time temperatures all along the cable is a first step in monitoring the transmission system capacity. This monitoring solution is based on using passive optical fibers as temperature sensors, either integrated directly inside a high voltage cable or mounted externally on the cable insulation. A solution for overhead lines is also available. In this case the optical fiber is integrated into the core of a phase wire of overhead transmission lines (OPPC). The integrated Dynamic Cable Rating (DCR) or also called Real Time Thermal Rating (RTTR) solution enables not only to continuously monitor the temperature of a high voltage cable circuit in real time, but to safely utilize the existing network capacity to its maximum. Furthermore it provides the ability to the operator to predict the behavior of the transmission system upon major changes made to its initial operating conditions.

## **Control**

To ensure safe and predictable operation the components of the transmission system are controlled with generators, switches, circuit breakers and loads. The voltage, power, frequency, load factor, and reliability capabilities of the transmission system are designed to provide cost effective performance for the customers.

## **Load balancing**

The transmission system provides for base load and peak load capability, with safety and fault tolerance margins. The peak load times vary by region largely due to the industry mix. In very hot and very cold climates home air conditioning and heating loads have an effect on the overall load. They are typically highest in the late afternoon in the hottest part of the year and in mid-mornings and mid-evenings in the coldest part of the year. This makes the power requirements vary by the season and the time of day. Distribution system designs always take the base load and the peak load into consideration.

The transmission system usually does not have a large buffering capability to match the loads with the generation. Thus generation has to be kept matched to the load, to prevent overloading failures of the generation equipment.

Multiple sources and loads can be connected to the transmission system and they must be controlled to provide orderly transfer of power. In centralized power generation, only

local control of generation is necessary, and it involves synchronization of the generation units, to prevent large transients and overload conditions.

In distributed power generation the generators are geographically distributed and the process to bring them online and offline must be carefully controlled. The load control signals can either be sent on separate lines or on the power lines themselves. To load balance the voltage and frequency can be used as a signaling mechanism.

In voltage signaling, the variation of voltage is used to increase generation. The power added by any system increases as the line voltage decreases. This arrangement is stable in principle. Voltage based regulation is complex to use in mesh networks, since the individual components and setpoints would need to be reconfigured every time a new generator is added to the mesh.

In frequency signaling, the generating units match the frequency of the power transmission system. In droop speed control, if the frequency decreases, the power is increased. (The drop in line frequency is an indication that the increased load is causing the generators to slow down.)

Wind turbines, v2g and other distributed storage and generation systems can be connected to the power grid, and interact with it to improve system operation.

### **Failure protection**

Under excess load conditions, the system can be designed to fail gracefully rather than all at once. Brownouts occur when the supply power drops below the demand. Blackouts occur when the supply fails completely.

Rolling blackouts, or load shedding, are intentionally engineered electrical power outages, used to distribute insufficient power when the demand for electricity exceeds the supply.

## **Communications**

Operators of long transmission lines require reliable communications for control of the power grid and, often, associated generation and distribution facilities. Fault-sensing protective relays at each end of the line must communicate to monitor the flow of power into and out of the protected line section so that faulted conductors or equipment can be quickly de-energized and the balance of the system restored. Protection of the transmission line from short circuits and other faults is usually so critical that common carrier telecommunications are insufficiently reliable, and in remote areas a common carrier may not be available. Communication systems associated with a transmission project may use:

- Microwaves
- Power line communication

- Optical fibers

Rarely, and for short distances, a utility will use pilot-wires strung along the transmission line path. Leased circuits from common carriers are not preferred since availability is not under control of the electric power transmission organization.

Transmission lines can also be used to carry data: this is called power-line carrier, or PLC. PLC signals can be easily received with a radio for the long wave range.

Optical fibers can be included in the stranded conductors of a transmission line, in the overhead shield wires. These cables are known as optical ground wire (*OPGW*). Sometimes a standalone cable is used, all-dielectric self-supporting (*ADSS*) cable, attached to the transmission line cross arms.

Some jurisdictions, such as Minnesota, prohibit energy transmission companies from selling surplus communication bandwidth or acting as a telecommunications common carrier. Where the regulatory structure permits, the utility can sell capacity in extra dark fibers to a common carrier, providing another revenue stream.

## Electricity market reform

Some regulators regard electric transmission to be a natural monopoly and there are moves in many countries to separately regulate transmission.

Spain was the first country to establish a regional transmission organization. In that country transmission operations and market operations are controlled by separate companies. The transmission system operator is Red Eléctrica de España (REE) and the wholesale electricity market operator is Operador del Mercado Ibérico de Energía - Polo Español, S.A. (OMEL). Spain's transmission system is interconnected with those of France, Portugal, and Morocco.

In the United States and parts of Canada, electrical transmission companies operate independently of generation and distribution companies.

## Cost of electric power transmission

The cost of high voltage electricity transmission, (as opposed to the costs of electricity distribution) is comparatively low, compared to all other costs arising in a consumer's electricity bill. In the UK transmission costs are about 0.2p/kWh compared to a delivered domestic price of around 10 p/kWh.

## Merchant transmission

**Merchant transmission** is an arrangement where a third party constructs and operates electric transmission lines through the franchise area of an unrelated utility. Advocates of

merchant transmission claim that this will create competition to construct the most efficient and lowest cost additions to the transmission grid. Merchant transmission projects typically involve DC lines because it is easier to limit flows to paying customers.

Operating merchant transmission projects in the United States include the Cross Sound Cable from Long Island, New York to New Haven, Connecticut, Neptune RTS Transmission Line from Sayreville, N.J., to Newbridge, N.Y, ITC Holdings, Inc. transmission system in the midwest, and Path 15 in California. Additional projects are in development or have been proposed throughout the United States.

There is only one unregulated or market interconnector in Australia: Basslink between Tasmania and Victoria. Two DC links originally implemented as market interconnectors Directlink and Murraylink have been converted to regulated interconnectors. NEMMCO

A major barrier to wider adoption of merchant transmission is the difficulty in identifying who benefits from the facility so that the beneficiaries will pay the toll. Also, it is difficult for a merchant transmission line to compete when the alternative transmission lines are subsidized by other utility businesses.

## **Health concerns**

The preponderance of evidence does not suggest that the low-power, low-frequency, electromagnetic radiation associated with household current constitutes a short or long term health hazard. Some studies have found statistical correlations between various diseases and living or working near power lines, but no adverse health effects have been substantiated for people not living close to powerlines.

There are established biological effects for acute *high* level exposure to magnetic fields well above 100  $\mu$ T. In a residential setting, there is "limited evidence of carcinogenicity in humans and less than sufficient evidence for carcinogenicity in experimental animals", in particular, childhood leukaemia, *associated with* average exposure to residential power-frequency magnetic field above 0.3 to 0.4  $\mu$ T. These levels exceed average residential power-frequency magnetic fields in homes which are about 0.07  $\mu$ T in Europe and 0.11  $\mu$ T in North America. Association is not the same as a cause-and-effect relationship.

## **Government policy**

Historically, local governments have exercised authority over the grid and have significant disincentives to take action that would benefit states other than their own. Localities with cheap electricity have a disincentive to making interstate commerce in electricity trading easier, since other regions will be able to compete for local energy and drive up rates. Some regulators in Maine for example do not wish to address congestion problems because the congestion serves to keep Maine rates low. Further, vocal local constituencies can block or slow permitting by pointing to visual impact, environmental,

and perceived health concerns. In the US, generation is growing 4 times faster than transmission, but big transmission upgrades require the coordination of multiple states, a multitude of interlocking permits, and cooperation between a significant portion of the 500 companies that own the grid. From a policy perspective, the control of the grid is balkanized, and even former energy secretary Bill Richardson refers to it as a *third world grid*. There have been efforts in the EU and US to confront the problem. The US national security interest in significantly growing transmission capacity drove passage of the 2005 energy act giving the Department of Energy the authority to approve transmission if states refuse to act. However, soon after using its power to designate two National Interest Electric Transmission Corridors, 14 senators signed a letter stating the DOE was being too aggressive.

## **Special transmission**

### **Grids for railways**

In some countries where electric trains run on low frequency AC (e.g., 16.7 Hz and 25 Hz) power, there are separate single phase traction power networks operated by the railways. These grids are fed by separate generators in some traction powerstations or by traction current converter plants from the public three phase AC network.

### **Superconducting cables**

High-temperature superconductors promise to revolutionize power distribution by providing lossless transmission of electrical power. The development of superconductors with transition temperatures higher than the boiling point of liquid nitrogen has made the concept of superconducting power lines commercially feasible, at least for high-load applications. It has been estimated that the waste would be halved using this method, since the necessary refrigeration equipment would consume about half the power saved by the elimination of the majority of resistive losses. Some companies such as Consolidated Edison and American Superconductor have already begun commercial production of such systems. In one hypothetical future system called a SuperGrid, the cost of cooling would be eliminated by coupling the transmission line with a liquid hydrogen pipeline.

Superconducting cables are particularly suited to high load density areas such as the business district of large cities, where purchase of an easement for cables would be very costly.

### **Single wire earth return**

Single-wire earth return (SWER) or single wire ground return is a single-wire transmission line for supplying single-phase electrical power for an electrical grid to remote areas at low cost. It is principally used for rural electrification, but also finds use

for larger isolated loads such as water pumps, and light rail. Single wire earth return is also used for HVDC over submarine power cables.

### **Wireless power transmission**

Both Nikola Tesla and Hidetsugu Yagi attempted to devise systems for large scale wireless power transmission, with no commercial success.

Wireless power transmission has been studied for transmission of power from solar power satellites to the earth. A high power array of microwave transmitters would beam power to a rectenna. Major engineering and economic challenges face any solar power satellite project.

### **Security of control systems**

The Federal government of the United States admits that the power grid is susceptible to cyber-warfare. The United States Department of Homeland Security works with industry to identify vulnerabilities and to help industry enhance the security of control system networks, the federal government is also working to ensure that security is built in as the U.S. develops the next generation of 'smart grid' networks.

## Chapter- 3

# Control Engineering



Control systems play a critical role in space flight

**Control engineering** or **Control systems engineering** is the engineering discipline that applies control theory to design systems with predictable behaviors. The practice uses sensors to measure the output performance of the device being controlled (often a vehicle) and those measurements can be used to give feedback to the input actuators that can make corrections toward desired performance. When a device is designed to perform without the need of human inputs for correction it is called automatic control (such as

cruise control for regulating a car's speed). Multi-disciplinary in nature, control systems engineering activities focus on implementation of control systems mainly derived by mathematical modeling of systems of a diverse range.

## Overview

Modern day control engineering (also called control systems engineering) is a relatively new field of study that gained a significant attention during 20th century with the advancement in technology. It can be broadly defined as practical application of control theory. Control engineering has an essential role in a wide range of control systems, from simple household washing machines to high-performance F-16 fighter aircraft. It seeks to understand physical systems, using mathematical modeling, in terms of inputs, outputs and various components with different behaviors; use control systems design tools to develop controllers for those systems; and implement controllers in physical systems employing available technology. A system can be mechanical, electrical, fluid, chemical, financial and even biological, and the mathematical modeling, analysis and controller design uses control theory in one or many of the time, frequency and complex-s domains, depending on the nature of the design problem.

## History

Automatic control Systems were first developed over two thousand years ago. The first feedback control device on record is thought to be the ancient water clock of Ktesibios in Alexandria Egypt around the third century B.C. It kept time by regulating the water level in a vessel and, therefore, the water flow from that vessel. This certainly was a successful device as water clocks of similar design were still being made in ~Baghdad when the Mongols captured the city in 1258 A.D. A variety of automatic devices have been used over the centuries to accomplish useful tasks or simply to just entertain. The latter includes the automata, popular in Europe in the 17th and 18th centuries, featuring dancing figures that would repeat the same task over and over again; these automata are examples of open-loop control. Milestones among feedback, or "closed-loop" automatic control devices, include the temperature regulator of a furnace attributed to Drebbel, circa 1620, and the centrifugal flyball governor used for regulating the speed of steam engines by James Watt in 1788.

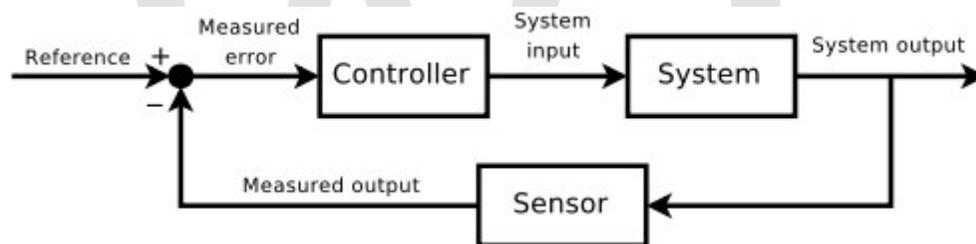
In his 1868 paper "On Governors", J. C. Maxwell (who discovered the Maxwell electromagnetic field equations) was able to explain instabilities exhibited by the flyball governor using differential equations to describe the control system. This demonstrated the importance and usefulness of mathematical models and methods in understanding complex phenomena, and signaled the beginning of mathematical control and systems theory. Elements of control theory had appeared earlier but not as dramatically and convincingly as in Maxwell's analysis.

Control theory made significant strides in the next 100 years. New mathematical techniques made it possible to control, more accurately, significantly more complex

dynamical systems than the original flyball governor. These techniques include developments in optimal control in the 1950s and 1960s, followed by progress in stochastic, robust, adaptive and optimal control methods in the 1970s and 1980s. Applications of control methodology have helped make possible space travel and communication satellites, safer and more efficient aircraft, cleaner auto engines, cleaner and more efficient chemical processes, to mention but a few.

Before it emerged as a unique discipline, control engineering was practiced as a part of mechanical engineering and control theory was studied as a part of electrical engineering, since electrical circuits can often be easily described using control theory techniques. In the very first control relationships, a current output was represented with a voltage control input. However, not having proper technology to implement electrical control systems, designers left with the option of less efficient and slow responding mechanical systems. A very effective mechanical controller that is still widely used in some hydro plants is the governor. Later on, previous to modern power electronics, process control systems for industrial applications were devised by mechanical engineers using pneumatic and hydraulic control devices, many of which are still in use today.

## Control theory



The concept of the feedback loop to control the dynamic behavior of the system: this is negative feedback, because the sensed value is subtracted from the desired value to create the error signal which is amplified by the controller.

**Control theory** is an interdisciplinary branch of engineering and mathematics, that deals with the behavior of dynamical systems. The desired output of a system is called the *reference*. When one or more output variables of a system need to follow a certain reference over time, a controller manipulates the inputs to a system to obtain the desired effect on the output of the system.

## Overview

Control theory is

- a theory that deals with influencing the behavior of dynamical systems
- an interdisciplinary subfield of science, which originated in engineering and mathematics, and evolved into use by the social sciences, like psychology, sociology and criminology.

## An example

Consider a car's cruise control, which is a device designed to maintain a constant vehicle speed with the *desired* or *reference* speed provided by the driver. The *system* in this case is the vehicle. The system output is the vehicle speed, and the control variable is the engine's throttle position which influences engine torque output.

A primitive way to implement cruise control is simply to lock the throttle position when the driver engages cruise control. However, on mountain terrain, the vehicle will slow down going uphill and accelerate going downhill. In fact, any parameter different from what was assumed at design time will translate into a proportional error in the output velocity, including exact mass of the vehicle, wind resistance, and tyre pressure. This type of controller is called an open-loop controller because there is no direct connection between the output of the system (the vehicle's speed) and the actual conditions encountered; that is to say, the system does not and can not compensate for unexpected forces.

In a **closed-loop control system**, a sensor monitors the output (the vehicle's speed) and feeds the data to a computer which continuously adjusts the control input (the throttle) as necessary to keep the control error to a minimum (that is, to maintain the desired speed). Feedback on how the system is actually performing allows the controller (vehicle's on board computer) to dynamically compensate for disturbances to the system, such as changes in slope of the ground or wind speed. An ideal feedback control system cancels out all errors, effectively mitigating the effects of any forces that might or might not arise during operation and producing a response in the system that perfectly matches the user's wishes. In reality, this cannot be achieved due to measurement errors in the sensors, delays in the controller, and imperfections in the control input.

## History



Centrifugal governor in a Boulton & Watt engine of 1788

Although control systems of various types date back to antiquity, a more formal analysis of the field began with a dynamics analysis of the centrifugal governor, conducted by the physicist James Clerk Maxwell in 1868 entitled *On Governors*. This described and analyzed the phenomenon of "hunting", in which lags in the system can lead to overcompensation and unstable behavior. This generated a flurry of interest in the topic, during which Maxwell's classmate Edward John Routh generalized the results of Maxwell for the general class of linear systems. Independently, Adolf Hurwitz analyzed

system stability using differential equations in 1877, resulting in what is now known as the Routh-Hurwitz theorem.

A notable application of dynamic control was in the area of manned flight. The Wright Brothers made their first successful test flights on December 17, 1903 and were distinguished by their ability to control their flights for substantial periods (more so than the ability to produce lift from an airfoil, which was known). Control of the airplane was necessary for safe flight.

By World War II, control theory was an important part of fire-control systems, guidance systems and electronics. The Space Race also depended on accurate spacecraft control. However, control theory also saw an increasing use in fields such as economics.

## People in systems and control

Many active and historical figures made significant contribution to control theory, including, for example:

- Alexander Lyapunov (1857–1918) in the 1890s marks the beginning of stability theory.
- Harold S. Black (1898–1983), invented the concept of negative feedback amplifiers in 1927. He managed to develop stable negative feedback amplifiers in the 1930s.
- Harry Nyquist (1889–1976), developed the Nyquist stability criterion for feedback systems in the 1930s.
- Richard Bellman (1920–1984), developed dynamic programming since the 1940s.
- Andrey Kolmogorov (1903–1987) co-developed the Wiener-Kolmogorov filter (1941).
- Norbert Wiener (1894–1964) co-developed the Wiener-Kolmogorov filter and coined the term cybernetics in the 1940s.
- John R. Ragazzini (1912–1988) introduced digital control and the z-transform in the 1950s.
- Lev Pontryagin (1908–1988) introduced the maximum principle and the bang-bang principle.

## Classical control theory

To avoid the problems of the open-loop controller, control theory introduces feedback. A closed-loop controller uses feedback to control states or outputs of a dynamical system. Its name comes from the information path in the system: process inputs (e.g. voltage applied to an electric motor) have an effect on the process outputs (e.g. velocity or torque of the motor), which is measured with sensors and processed by the controller; the result (the control signal) is used as input to the process, closing the loop.

Closed-loop controllers have the following advantages over open-loop controllers:

- disturbance rejection (such as unmeasured friction in a motor)
- guaranteed performance even with model uncertainties, when the model structure does not match perfectly the real process and the model parameters are not exact
- unstable processes can be stabilized
- reduced sensitivity to parameter variations
- improved reference tracking performance

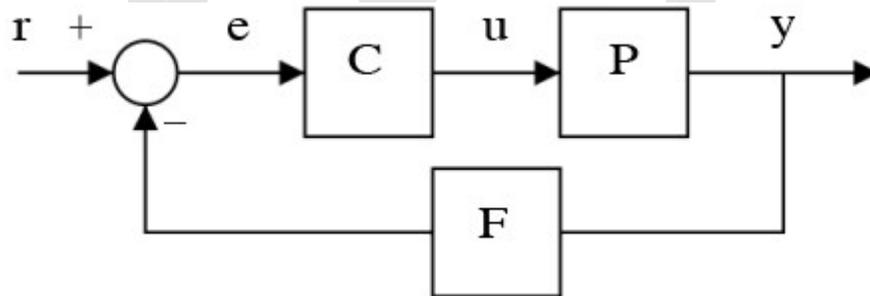
In some systems, closed-loop and open-loop control are used simultaneously. In such systems, the open-loop control is termed feedforward and serves to further improve reference tracking performance.

A common closed-loop controller architecture is the PID controller.

### Closed-loop transfer function

The output of the system  $y(t)$  is fed back through a sensor measurement  $F$  to the reference value  $r(t)$ . The controller  $C$  then takes the error  $e$  (difference) between the reference and the output to change the inputs  $u$  to the system under control  $P$ . This is shown in the figure. This kind of controller is a closed-loop controller or feedback controller.

This is called a single-input-single-output (*SISO*) control system; *MIMO* (i.e. Multi-Input-Multi-Output) systems, with more than one input/output, are common. In such cases variables are represented through vectors instead of simple scalar values. For some distributed parameter systems the vectors may be infinite-dimensional (typically functions).



If we assume the controller  $C$ , the plant  $P$ , and the sensor  $F$  are linear and time-invariant (i.e.: elements of their transfer function  $C(s)$ ,  $P(s)$ , and  $F(s)$  do not depend on time), the systems above can be analysed using the Laplace transform on the variables. This gives the following relations:

$$\begin{aligned}
 Y(s) &= P(s)U(s) \\
 U(s) &= C(s)E(s) \\
 E(s) &= R(s) - F(s)Y(s).
 \end{aligned}$$

Solving for  $Y(s)$  in terms of  $R(s)$  gives:

$$Y(s) = \left( \frac{P(s)C(s)}{1 + F(s)P(s)C(s)} \right) R(s) = H(s)R(s).$$

$$H(s) = \frac{P(s)C(s)}{1 + F(s)P(s)C(s)}$$

The expression is referred to as the *closed-loop transfer function* of the system. The numerator is the forward (open-loop) gain from  $r$  to  $y$ , and the denominator is one plus the gain in going around the feedback loop, the so-called loop gain. If  $|P(s)C(s)| \gg 1$ , i.e. it has a large norm with each value of  $s$ , and if  $|F(s)| \approx 1$ , then  $Y(s)$  is approximately equal to  $R(s)$ . This simply means setting the reference to control the output.

## PID controller

The PID controller is probably the most-used feedback control design. *PID* is an acronym for *Proportional-Integral-Derivative*, referring to the three terms operating on the error signal to produce a control signal. If  $u(t)$  is the control signal sent to the system,  $y(t)$  is the measured output and  $r(t)$  is the desired output, and tracking error  $e(t) = r(t) - y(t)$ , a PID controller has the general form

$$u(t) = K_P e(t) + K_I \int e(t) dt + K_D \frac{d}{dt} e(t).$$

The desired closed loop dynamics is obtained by adjusting the three parameters  $K_P$ ,  $K_I$  and  $K_D$ , often iteratively by "tuning" and without specific knowledge of a plant model. Stability can often be ensured using only the proportional term. The integral term permits the rejection of a step disturbance (often a striking specification in process control). The derivative term is used to provide damping or shaping of the response. PID controllers are the most well established class of control systems: however, they cannot be used in several more complicated cases, especially if MIMO systems are considered.

Applying Laplace transformation results in the transformed PID controller equation

$$u(s) = K_P e(s) + K_I \frac{1}{s} e(s) + K_D s e(s)$$

$$u(s) = (K_P + K_I \frac{1}{s} + K_D s) e(s)$$

with the PID controller transfer function

$$C(s) = (K_P + K_I \frac{1}{s} + K_D s).$$

# Modern control theory

In contrast to the frequency domain analysis of the classical control theory, modern control theory utilizes the time-domain state space representation, a mathematical model of a physical system as a set of input, output and state variables related by first-order differential equations. To abstract from the number of inputs, outputs and states, the variables are expressed as vectors and the differential and algebraic equations are written in matrix form (the latter only being possible when the dynamical system is linear). The state space representation (also known as the "time-domain approach") provides a convenient and compact way to model and analyze systems with multiple inputs and outputs. With inputs and outputs, we would otherwise have to write down Laplace transforms to encode all the information about a system. Unlike the frequency domain approach, the use of the state space representation is not limited to systems with linear components and zero initial conditions. "State space" refers to the space whose axes are the state variables. The state of the system can be represented as a vector within that space.)

## Topics in control theory

### Stability

The *stability* of a general dynamical system with no input can be described with Lyapunov stability criteria. A linear system that takes an input is called bounded-input bounded-output (BIBO) stable if its output will stay bounded for any bounded input. Stability for nonlinear systems that take an input is input-to-state stability (ISS), which combines Lyapunov stability and a notion similar to BIBO stability. For simplicity, the following descriptions focus on continuous-time and discrete-time linear systems.

Mathematically, this means that for a causal linear system to be stable all of the poles of its transfer function must satisfy some criteria depending on whether a continuous or discrete time analysis is used:

- In continuous time, the Laplace transform is used to obtain the transfer function. A system is stable if the poles of this transfer function lie strictly in the open left half of the complex plane (i.e. the real part of all the poles is less than zero).
- In discrete time the Z-transform is used. A system is stable if the poles of this transfer function lie strictly inside the unit circle. i.e. the magnitude of the poles is less than one).

When the appropriate conditions above are satisfied a system is said to be asymptotically stable: the variables of an asymptotically stable control system always decrease from their initial value and do not show permanent oscillations. Permanent oscillations occur when a pole has a real part exactly equal to zero (in the continuous time case) or a modulus equal to one (in the discrete time case). If a simply stable system response neither decays nor grows over time, and has no oscillations, it is marginally stable: in this

case the system transfer function has non-repeated poles at complex plane origin (i.e. their real and complex component is zero in the continuous time case). Oscillations are present when poles with real part equal to zero have an imaginary part not equal to zero.

Differences between the two cases are not a contradiction. The Laplace transform is in Cartesian coordinates and the Z-transform is in circular coordinates, and it can be shown that:

- the negative-real part in the Laplace domain can map onto the interior of the unit circle
- the positive-real part in the Laplace domain can map onto the exterior of the unit circle

If a system in question has an impulse response of

$$x[n] = 0.5^n u[n]$$

then the Z-transform (see this example), is given by

$$X(z) = \frac{1}{1 - 0.5z^{-1}}$$

which has a pole in  $z = 0.5$  (zero imaginary part). This system is BIBO (asymptotically) stable since the pole is *inside* the unit circle.

However, if the impulse response was

$$x[n] = 1.5^n u[n]$$

then the Z-transform is

$$X(z) = \frac{1}{1 - 1.5z^{-1}}$$

which has a pole at  $z = 1.5$  and is not BIBO stable since the pole has a modulus strictly greater than one.

Numerous tools exist for the analysis of the poles of a system. These include graphical systems like the root locus, Bode plots or the Nyquist plots.

Mechanical changes can make equipment (and control systems) more stable. Sailors add ballast to improve the stability of ships. Cruise ships use antiroll fins that extend transversely from the side of the ship for perhaps 30 feet (10 m) and are continuously rotated about their axes to develop forces that oppose the roll.

## Controllability and observability

Controllability and observability are main issues in the analysis of a system before deciding the best control strategy to be applied, or whether it is even possible to control or stabilize the system. Controllability is related to the possibility of forcing the system into a particular state by using an appropriate control signal. If a state is not controllable, then no signal will ever be able to control the state. If a state is not controllable, but its dynamics are stable, then the state is termed Stabilizable. Observability instead is related to the possibility of "observing", through output measurements, the state of a system. If a state is not observable, the controller will never be able to determine the behaviour of an unobservable state and hence cannot use it to stabilize the system. However, similar to the stabilizability condition above, if a state cannot be observed it might still be detectable.

From a geometrical point of view, looking at the states of each variable of the system to be controlled, every "bad" state of these variables must be controllable and observable to ensure a good behaviour in the closed-loop system. That is, if one of the eigenvalues of the system is not both controllable and observable, this part of the dynamics will remain untouched in the closed-loop system. If such an eigenvalue is not stable, the dynamics of this eigenvalue will be present in the closed-loop system which therefore will be unstable. Unobservable poles are not present in the transfer function realization of a state-space representation, which is why sometimes the latter is preferred in dynamical systems analysis.

Solutions to problems of uncontrollable or unobservable system include adding actuators and sensors.

## Control specifications

Several different control strategies have been devised in the past years. These vary from extremely general ones (PID controller), to others devoted to very particular classes of systems (especially robotics or aircraft cruise control).

A control problem can have several specifications. Stability, of course, is always present: the controller must ensure that the closed-loop system is stable, regardless of the open-loop stability. A poor choice of controller can even worsen the stability of the open-loop system, which must normally be avoided. Sometimes it would be desired to obtain particular dynamics in the closed loop: i.e. that the poles have  $Re[\lambda] < -\bar{\lambda}$ , where  $\bar{\lambda}$  is a fixed value strictly greater than zero, instead of simply asking that  $Re[\lambda] < 0$ .

Another typical specification is the rejection of a step disturbance; including an integrator in the open-loop chain (i.e. directly before the system under control) easily achieves this. Other classes of disturbances need different types of sub-systems to be included.

Other "classical" control theory specifications regard the time-response of the closed-loop system: these include the rise time (the time needed by the control system to reach the desired value after a perturbation), peak overshoot (the highest value reached by the response before reaching the desired value) and others (settling time, quarter-decay). Frequency domain specifications are usually related to robustness.

Modern performance assessments use some variation of integrated tracking error (IAE,ISA,CQI).

## **Model identification and robustness**

A control system must always have some robustness property. A robust controller is such that its properties do not change much if applied to a system slightly different from the mathematical one used for its synthesis. This specification is important: no real physical system truly behaves like the series of differential equations used to represent it mathematically. Typically a simpler mathematical model is chosen in order to simplify calculations, otherwise the true system dynamics can be so complicated that a complete model is impossible.

### System identification

The process of determining the equations that govern the model's dynamics is called system identification. This can be done off-line: for example, executing a series of measures from which to calculate an approximated mathematical model, typically its transfer function or matrix. Such identification from the output, however, cannot take account of unobservable dynamics. Sometimes the model is built directly starting from known physical equations: for example, in the case of a mass-spring-damper system we know that  $m\ddot{x}(t) = -Kx(t) - B\dot{x}(t)$ . Even assuming that a "complete" model is used in designing the controller, all the parameters included in these equations (called "nominal parameters") are never known with absolute precision; the control system will have to behave correctly even when connected to physical system with true parameter values away from nominal.

Some advanced control techniques include an "on-line" identification process (see later). The parameters of the model are calculated ("identified") while the controller itself is running: in this way, if a drastic variation of the parameters ensues (for example, if the robot's arm releases a weight), the controller will adjust itself consequently in order to ensure the correct performance.

### Analysis

Analysis of the robustness of a SISO control system can be performed in the frequency domain, considering the system's transfer function and using Nyquist and Bode diagrams. Topics include gain and phase margin and amplitude margin. For MIMO and, in general, more complicated control systems one must consider the theoretical results devised for each control technique (see next section): i.e., if particular robustness qualities are

needed, the engineer must shift his attention to a control technique including them in its properties.

### Constraints

A particular robustness issue is the requirement for a control system to perform properly in the presence of input and state constraints. In the physical world every signal is limited. It could happen that a controller will send control signals that cannot be followed by the physical system: for example, trying to rotate a valve at excessive speed. This can produce undesired behavior of the closed-loop system, or even break actuators or other subsystems. Specific control techniques are available to solve the problem: model predictive control (see later), and anti-wind up systems. The latter consists of an additional control block that ensures that the control signal never exceeds a given threshold.

## System classifications

### Linear Systems control

For MIMO systems, pole placement can be performed mathematically using a state space representation of the open-loop system and calculating a feedback matrix assigning poles in the desired positions. In complicated systems this can require computer-assisted calculation capabilities, and cannot always ensure robustness. Furthermore, all system states are not in general measured and so observers must be included and incorporated in pole placement design.

### Nonlinear Systems control

Processes in industries like robotics and the aerospace industry typically have strong nonlinear dynamics. In control theory it is sometimes possible to linearize such classes of systems and apply linear techniques, but in many cases it can be necessary to devise from scratch theories permitting control of nonlinear systems. These, e.g., feedback linearization, backstepping, sliding mode control, trajectory linearization control normally take advantage of results based on Lyapunov's theory. Differential geometry has been widely used as a tool for generalizing well-known linear control concepts to the non-linear case, as well as showing the subtleties that make it a more challenging problem.

### Decentralized Systems

When the system is controlled by multiple controllers, the problem is one of decentralized control. Decentralization is helpful in many ways, for instance, it helps control system operate over a larger geographical area. The agents in decentralized control systems can interact using communication channels and coordinate their actions.

## Main control strategies

Every control system must guarantee first the stability of the closed-loop behavior. For linear systems, this can be obtained by directly placing the poles. Non-linear control systems use specific theories (normally based on Aleksandr Lyapunov's Theory) to ensure stability without regard to the inner dynamics of the system. The possibility to fulfill different specifications varies from the model considered and the control strategy chosen. Here a summary list of the main control techniques is shown:

### Adaptive control

Adaptive control uses on-line identification of the process parameters, or modification of controller gains, thereby obtaining strong robustness properties. Adaptive controls were applied for the first time in the aerospace industry in the 1950s, and have found particular success in that field.

### Hierarchical control

A Hierarchical control system is a type of Control System in which a set of devices and governing software is arranged in a hierarchical tree. When the links in the tree are implemented by a computer network, then that hierarchical control system is also a form of Networked control system.

### Intelligent control

Intelligent control uses various AI computing approaches like neural networks, Bayesian probability, fuzzy logic, machine learning, evolutionary computation and genetic algorithms to control a dynamic system.

### Optimal control

Optimal control is a particular control technique in which the control signal optimizes a certain "cost index": for example, in the case of a satellite, the jet thrusts needed to bring it to desired trajectory that consume the least amount of fuel. Two optimal control design methods have been widely used in industrial applications, as it has been shown they can guarantee closed-loop stability. These are Model Predictive Control (MPC) and Linear-Quadratic-Gaussian control (LQG). The first can more explicitly take into account constraints on the signals in the system, which is an important feature in many industrial processes. However, the "optimal control" structure in MPC is only a means to achieve such a result, as it does not optimize a true performance index of the closed-loop control system. Together with PID controllers, MPC systems are the most widely used control technique in process control.

### Robust control

Robust control deals explicitly with uncertainty in its approach to controller design. Controllers designed using *robust control* methods tend to be able to cope with small differences between the true system and the nominal model used for design. The early methods of Bode and others were fairly robust; the state-space methods invented in the 1960s and 1970s were sometimes found to lack robustness. A modern example of a robust control technique is H-infinity loop-shaping developed by Duncan McFarlane and Keith Glover of Cambridge University, United Kingdom. Robust methods aim to achieve robust performance and/or stability in the presence of small modeling errors.

## Stochastic control

Stochastic control deals with control design with uncertainty in the model. In typical stochastic control problems, it is assumed that there exist random noise and disturbances in the model and the controller, and the control design must take into account these random deviations.

## Control systems

Control engineering is the engineering discipline that focuses on the modeling of a diverse range of dynamic systems (e.g. mechanical systems) and the design of controllers that will cause these systems to behave in the desired manner. Although such controllers need not be electrical many are and hence control engineering is often viewed as a subfield of electrical engineering. However, the falling price of microprocessors is making the actual implementation of a control system essentially trivial. As a result, focus is shifting back to the mechanical engineering discipline, as intimate knowledge of the physical system being controlled is often desired.

Electrical circuits, digital signal processors and microcontrollers can all be used to implement Control systems. Control engineering has a wide range of applications from the flight and propulsion systems of commercial airliners to the cruise control present in many modern automobiles.

In most of the cases, control engineers utilize feedback when designing control systems. This is often accomplished using a PID controller system. For example, in an automobile with cruise control the vehicle's speed is continuously monitored and fed back to the system which adjusts the motor's torque accordingly. Where there is regular feedback, control theory can be used to determine how the system responds to such feedback. In practically all such systems stability is important and control theory can help ensure stability is achieved.

Although feedback is an important aspect of control engineering, control engineers may also work on the control of systems without feedback. This is known as open loop control. A classic example of open loop control is a washing machine that runs through a pre-determined cycle without the use of sensors.

## Control engineering education

At many universities, control engineering courses are taught in Electrical and Electronic Engineering, Mechanical engineering, and Aerospace engineering; in others it is connected to computer science, as most control techniques today are implemented through computers, often as Embedded systems (as in the automotive field). The field of control within chemical engineering is often known as process control. It deals primarily with the control of variables in a chemical process in a plant. It is taught as part of the undergraduate curriculum of any chemical engineering program, and employs many of the same principles in control engineering. Other engineering disciplines also overlap

with control engineering, as it can be applied to any system for which a suitable model can be derived.

Control engineering has diversified applications that include science, finance management, and even human behavior. Students of control engineering may start with a linear control system course dealing with the time and complex-s domain, which requires a thorough background in elementary mathematics and Laplace transform (called classical control theory). In linear control, the student does frequency and time domain analysis. Digital control and nonlinear control courses require z transformation and algebra respectively, and could be said to complete a basic control education. From here onwards there are several sub branches.

## **Recent advancement**

Originally control engineering was all about continuous systems. Development of computer control tools posed a requirement of discrete control system engineering because the communications between the computer-based digital controller and the physical system are governed by a computer clock. The equivalent to Laplace transform in the discrete domain is the z-transform. Today many of the control systems are computer controlled and they consist of both digital and analogue components.

Therefore, at the design stage either digital components are mapped into the continuous domain and the design is carried out in the continuous domain, or analogue components are mapped in to discrete domain and design is carried out there. The first of these two methods is more commonly encountered in practice because many industrial systems have many continuous systems components, including mechanical, fluid, biological and analogue electrical components, with a few digital controllers.

Similarly, the design technique has progressed from paper-and-ruler based manual design to computer-aided design, and now to computer-automated design (CAutoD), which has been made possible by evolutionary computation. CAutoD can be applied not just to tuning a predefined control scheme, but also to controller structure optimisation, system identification and invention of novel control systems, based purely upon a performance requirement, independent of any specific control scheme.





Circuit board

**Electronics engineering**, also referred to as **electronic engineering** is an engineering discipline which uses the scientific knowledge of the behavior and effects of electrons to develop components, devices, systems, or equipment (as in electron tubes, transistors, integrated circuits, and printed circuit boards) that uses electricity as part of its driving force. Both terms denote a broad engineering field that encompasses many subfields including those that deal with power, instrumentation engineering, telecommunications, semiconductor circuit design, and many others.

The term also covers a large part of electrical engineering degree courses as studied at most European universities. In the U.S., however, electrical engineering encompasses all electrical disciplines including electronics. The Institute of Electrical and Electronics Engineers is one of the most important and influential organizations for electronics engineers.

## Terminology

The name electrical engineering is still used to cover electronic engineering amongst some of the older (notably American and Australian) universities and graduates there are called electrical engineers. Some people believe the term 'electrical engineer' should be reserved for those having specialized in power and heavy current or high voltage engineering, while others believe that power is just one subset of electrical engineering (and indeed the term 'power engineering' is used in that industry) as well as 'electrical distribution engineering'. Again, in recent years there has been a growth of new separate-

entry degree courses such as 'information engineering' and 'communication systems engineering', often followed by academic departments of similar name.

Most European universities now refer to electrical engineering as power engineers and make a distinction between Electrical and Electronics Engineering. Beginning in the 1980s, the term computer engineer was often used to refer to electronic or information engineers. However, Computer Engineering is now considered a subset of Electronics Engineering and the term is now becoming archaic.

## History of electronic engineering

Electronic engineering as a profession sprang from technological improvements in the telegraph industry in the late 1800s and the radio and the telephone industries in the early 1900s. People were attracted to radio by the technical fascination it inspired, first in receiving and then in transmitting. Many who went into broadcasting in the 1920s were only 'amateurs' in the period before World War I.

The modern discipline of electronic engineering was to a large extent born out of telephone, radio, and television equipment development and the large amount of electronic systems development during World War II of radar, sonar, communication systems, and advanced munitions and weapon systems. In the interwar years, the subject was known as radio engineering and it was only in the late 1950s that the term **electronic engineering** started to emerge.

The electronic laboratories (Bell Labs in the United States for instance) created and subsidized by large corporations in the industries of radio, television, and telephone equipment began churning out a series of electronic advances. In 1948, came the transistor and in 1960, the IC to revolutionize the electronic industry. In the UK, the subject of electronic engineering became distinct from electrical engineering as a university degree subject around 1960. Before this time, students of electronics and related subjects like radio and telecommunications had to enroll in the electrical engineering department of the university as no university had departments of electronics. Electrical engineering was the nearest subject with which electronic engineering could be aligned, although the similarities in subjects covered (except mathematics and electromagnetism) lasted only for the first year of the three-year course.

### Early electronics

In 1893, Nikola Tesla made the first public demonstration of radio communication. Addressing the Franklin Institute in Philadelphia and the National Electric Light Association, he described and demonstrated in detail the principles of radio communication. In 1896, Guglielmo Marconi went on to develop a practical and widely used radio system. In 1904, John Ambrose Fleming, the first professor of electrical Engineering at University College London, invented the first radio tube, the diode. One year later, in 1906, Robert von Lieben and Lee De Forest independently developed the amplifier tube, called the triode.

Electronics is often considered to have begun when Lee De Forest invented the vacuum tube in 1907. Within 10 years, his device was used in radio transmitters and receivers as well as systems for long distance telephone calls. In 1912, Edwin H. Armstrong invented the regenerative feedback amplifier and oscillator; he also invented the superheterodyne radio receiver and could be considered the father of modern radio. Vacuum tubes remained the preferred amplifying device for 40 years, until researchers working for William Shockley at Bell Labs invented the transistor in 1947. In the following years, transistors made small portable radios, or transistor radios, possible as well as allowing more powerful mainframe computers to be built. Transistors were smaller and required lower voltages than vacuum tubes to work.

Before the invention of the integrated circuit in 1959, electronic circuits were constructed from discrete components that could be manipulated by hand. These non-integrated circuits consumed much space and power, were prone to failure and were limited in speed although they are still common in simple applications. By contrast, integrated circuits packed a large number — often millions — of tiny electrical components, mainly transistors, into a small chip around the size of a coin.

## **Tubes or valves**

### **The vacuum tube detector**

The invention of the triode amplifier, generator, and detector made audio communication by radio practical. (Reginald Fessenden's 1906 transmissions used an electro-mechanical alternator.) The first known radio news program was broadcast 31 August 1920 by station 8MK, the unlicensed predecessor of WWJ (AM) in Detroit, Michigan. Regular wireless broadcasts for entertainment commenced in 1922 from the Marconi Research Centre at Writtle near Chelmsford, England.

While some early radios used some type of amplification through electric current or battery, through the mid 1920s the most common type of receiver was the crystal set. In the 1920s, amplifying vacuum tubes revolutionized both radio receivers and transmitters.

## **Television**

In 1928 Philo Farnsworth made the first public demonstration of a purely electronic television. During the 1930s several countries began broadcasting, and after World War II it spread to millions of receivers, eventually worldwide. Ever since then, electronics have been fully present in television devices.

Modern televisions and video displays have evolved from bulky electron tube technology to use more compact devices, such as plasma and LCD displays. The trend is for even lower power devices such as the organic light-emitting diode displays, and it is most likely to replace the LCD and plasma technologies.

## **Radar and radio location**

During World War II many efforts were expended in the electronic location of enemy targets and aircraft. These included radio beam guidance of bombers, electronic counter measures, early radar systems etc. During this time very little if any effort was expended on consumer electronics developments.

## **Computers**

A computer is a programmable machine that receives input, stores and manipulates data, and provides output in a useful format.

Although mechanical examples of computers have existed through much of recorded human history, the first electronic computers were developed in the mid-20th century (1940–1945). These were the size of a large room, consuming as much power as several hundred modern personal computers (PCs). Modern computers based on integrated circuits are millions to billions of times more capable than the early machines, and occupy a fraction of the space. Simple computers are small enough to fit into small pocket devices, and can be powered by a small battery. Personal computers in their various forms are icons of the Information Age and are what most people think of as "computers". However, the embedded computers found in many devices from MP3 players to fighter aircraft and from toys to industrial robots are the most numerous.

The ability to store and execute lists of instructions called programs makes computers extremely versatile, distinguishing them from calculators. The Church–Turing thesis is a mathematical statement of this versatility: any computer with a certain minimum capability is, in principle, capable of performing the same tasks that any other computer can perform. Therefore computers ranging from a netbook to a supercomputer are all able to perform the same computational tasks, given enough time and storage capacity.

## **Microprocessors**

In 1969, Ted Hoff conceived the commercial microprocessor at Intel and thus ignited the development of the personal computer. Hoff's invention was part of an order by a Japanese company for a desktop programmable electronic calculator, which Hoff wanted to build as cheaply as possible. The first realization of the microprocessor was the Intel 4004, a 4-bit processor, in 1969, but only in 1973 did the Intel 8080, an 8-bit processor, make the building of the first personal computer, the MITS Altair 8800, possible. The first PC was announced to the general public on the cover of the January 1975 issue of Popular Electronics.

Many electronics engineers today specialize in the development of programs for microprocessor based electronic systems, known as embedded systems. Due to the detailed knowledge of the hardware that is required for doing this, it is normally done by electronics engineers and not software engineers. Software engineers typically know and use microprocessors only at a conceptual level. Electronics engineers who exclusively

carry out the role of programming embedded systems or microprocessors are referred to as "embedded systems engineers", or "firmware engineers".

## **Electronics**

In the field of electronic engineering, engineers design and test circuits that use the electromagnetic properties of electrical components such as resistors, capacitors, inductors, diodes and transistors to achieve a particular functionality. The tuner circuit, which allows the user of a radio to filter out all but a single station, is just one example of such a circuit.

In designing an integrated circuit, electronics engineers first construct circuit schematics that specify the electrical components and describe the interconnections between them. When completed, VLSI engineers convert the schematics into actual layouts, which map the layers of various conductor and semiconductor materials needed to construct the circuit. The conversion from schematics to layouts can be done by software but very often requires human fine-tuning to decrease space and power consumption. Once the layout is complete, it can be sent to a fabrication plant for manufacturing.

Integrated circuits and other electrical components can then be assembled on printed circuit boards to form more complicated circuits. Today, printed circuit boards are found in most electronic devices including televisions, computers and audio players.

## **Typical electronic engineering undergraduate syllabus**

Apart from electromagnetics and network theory, other items in the syllabus are particular to *electronics* engineering course. *Electrical* engineering courses have other specialisms such as machines, power generation and distribution. Note that the following list does not include the extensive engineering mathematics curriculum that is a prerequisite to a degree.

### **Electromagnetics**

Elements of vector calculus: divergence and curl; Gauss' and Stokes' theorems, Maxwell's equations: differential and integral forms. Wave equation, Poynting vector. Plane waves: propagation through various media; reflection and refraction; phase and group velocity; skin depth. Transmission lines: characteristic impedance; impedance transformation; Smith chart; impedance matching; pulse excitation. Waveguides: modes in rectangular waveguides; boundary conditions; cut-off frequencies; dispersion relations. Antennas: Dipole antennas; antenna arrays; radiation pattern; reciprocity theorem, antenna gain.

### **Network analysis**

Network graphs: matrices associated with graphs; incidence, fundamental cut set and fundamental circuit matrices. Solution methods: nodal and mesh analysis. Network

theorems: superposition, Thevenin and Norton's maximum power transfer, Wye-Delta transformation. Steady state sinusoidal analysis using phasors. Linear constant coefficient differential equations; time domain analysis of simple RLC circuits, Solution of network equations using Laplace transform: frequency domain analysis of RLC circuits. 2-port network parameters: driving point and transfer functions. State equations for networks.

## **Electronic devices and circuits**

**Electronic devices:** Energy bands in silicon, intrinsic and extrinsic silicon. Carrier transport in silicon: diffusion current, drift current, mobility, resistivity. Generation and recombination of carriers. p-n junction diode, Zener diode, tunnel diode, BJT, JFET, MOS capacitor, MOSFET, LED, p-i-n and avalanche photo diode, LASERs. Device technology: integrated circuit fabrication process, oxidation, diffusion, ion implantation, photolithography, n-tub, p-tub and twin-tub CMOS process.

**Analog circuits:** Equivalent circuits (large and small-signal) of diodes, BJTs, JFETs, and MOSFETs. Simple diode circuits, clipping, clamping, rectifier. Biasing and bias stability of transistor and FET amplifiers. Amplifiers: single-and multi-stage, differential, operational, feedback and power. Analysis of amplifiers; frequency response of amplifiers. Simple op-amp circuits. Filters. Sinusoidal oscillators; criterion for oscillation; single-transistor and op-amp configurations. Function generators and wave-shaping circuits, Power supplies.

**Digital circuits:** of Boolean functions; logic gates digital IC families (DTL, TTL, ECL, MOS, CMOS). Combinational circuits: arithmetic circuits, code converters, multiplexers and decoders. Sequential circuits: latches and flip-flops, counters and shift-registers. Sample and hold circuits, ADCs, DACs. Semiconductor memories. Microprocessor 8086: architecture, programming, memory and I/O interfacing.

## **Signals and systems**

Definitions and properties of Laplace transform, continuous-time and discrete-time Fourier series, continuous-time and discrete-time Fourier Transform, z-transform. Sampling theorems. Linear Time-Invariant (LTI) Systems: definitions and properties; causality, stability, impulse response, convolution, poles and zeros frequency response, group delay, phase delay. Signal transmission through LTI systems. Random signals and noise: probability, random variables, probability density function, autocorrelation, power spectral density, function analogy between vectors & functions.

## **Control systems**

Basic control system components; block diagrammatic description, reduction of block diagrams — Mason's rule. Open loop and closed loop (negative unity feedback) systems and stability analysis of these systems. Signal flow graphs and their use in determining transfer functions of systems; transient and steady state analysis of LTI control systems

and frequency response. Analysis of steady-state disturbance rejection and noise sensitivity.

Tools and techniques for LTI control system analysis and design: root loci, Routh-Hurwitz stability criterion, Bode and Nyquist plots. Control system compensators: elements of lead and lag compensation, elements of Proportional-Integral-Derivative controller (PID). Discretization of continuous time systems using Zero-order hold (ZOH) and ADCs for digital controller implementation. Limitations of digital controllers: aliasing. State variable representation and solution of state equation of LTI control systems. Linearization of Nonlinear dynamical systems with state-space realizations in both frequency and time domains. Fundamental concepts of controllability and observability for MIMO LTI systems. State space realizations: observable and controllable canonical form. Ackermann's formula for state-feedback pole placement. Design of full order and reduced order estimators.

## **Communications**

**Analog communication systems:** amplitude and angle modulation and demodulation systems, spectral analysis of these operations, superheterodyne noise conditions.

**Digital communication systems:** pulse code modulation (PCM), Differential Pulse Code Modulation (DPCM), Delta modulation (DM), digital modulation schemes-amplitude, phase and frequency shift keying schemes (ASK, PSK, FSK), matched filter receivers, bandwidth consideration and probability of error calculations for these schemes, GSM, TDMA.

## **Education and training**

Electronics engineers typically possess an academic degree with a major in electronic engineering. The length of study for such a degree is usually three or four years and the completed degree may be designated as a Bachelor of Engineering, Bachelor of Science, Bachelor of Applied Science, or Bachelor of Technology depending upon the university. Many UK universities also offer Master of Engineering (MEng) degrees at undergraduate level.

The degree generally includes units covering physics, chemistry, mathematics, project management and specific topics in electrical engineering. Initially such topics cover most, if not all, of the subfields of electronic engineering. Students then choose to specialize in one or more subfields towards the end of the degree.

Some electronics engineers also choose to pursue a postgraduate degree such as a Master of Science (MSc), Doctor of Philosophy in Engineering (PhD), or an Engineering Doctorate (EngD). The Master degree is being introduced in some European and American Universities as a first degree and the differentiation of an engineer with graduate and postgraduate studies is often difficult. In these cases, experience is taken into account. The Master's degree may consist of either research, coursework or a mixture

of the two. The Doctor of Philosophy consists of a significant research component and is often viewed as the entry point to academia.

In most countries, a Bachelor's degree in engineering represents the first step towards certification and the degree program itself is certified by a professional body. After completing a certified degree program the engineer must satisfy a range of requirements (including work experience requirements) before being certified. Once certified the engineer is designated the title of Professional Engineer (in the United States, Canada and South Africa), Chartered Engineer or Incorporated Engineer (in the United Kingdom, Ireland, India and Zimbabwe), Chartered Professional Engineer (in Australia) or European Engineer (in much of the European Union).

Fundamental to the discipline are the sciences of physics and mathematics as these help to obtain both a qualitative and quantitative description of how such systems will work. Today most engineering work involves the use of computers and it is commonplace to use computer-aided design programs when designing electronic systems. Although most electronic engineers will understand basic circuit theory, the theories employed by engineers generally depend upon the work they do. For example, quantum mechanics and solid state physics might be relevant to an engineer working on VLSI but are largely irrelevant to engineers working with macroscopic electrical systems.

## Professional bodies

Professional bodies of note for electrical engineers include the Institute of Electrical and Electronics Engineers (IEEE) and the Institution of Electrical Engineers (IEE) (now renamed the Institution of Engineering and Technology or IET). The IEEE claims to produce 30 percent of the world's literature in electrical/electronic engineering, has over 370,000 members, and holds more than 450 IEEE sponsored or cosponsored conferences worldwide each year.

## Subfields

Electronic engineering has many subfields. This section describes some of the most popular subfields in electronic engineering; although there are engineers who focus exclusively on one subfield, there are also many who focus on a combination of subfields.

### Overview of electronic engineering

**Electronic engineering** involves the design and testing of electronic circuits that use the electronic properties of components such as resistors, capacitors, inductors, diodes and transistors to achieve a particular functionality.

**Signal processing** deals with the analysis and manipulation of signals. Signals can be either analog, in which case the signal varies continuously according to the information,

or digital, in which case the signal varies according to a series of discrete values representing the information.

For analog signals, signal processing may involve the amplification and filtering of audio signals for audio equipment or the modulation and demodulation of signals for telecommunications. For digital signals, signal processing may involve the compression, error checking and error detection of digital signals.

**Telecommunications engineering** deals with the transmission of information across a channel such as a co-axial cable, optical fiber or free space.

Transmissions across free space require information to be encoded in a carrier wave in order to shift the information to a carrier frequency suitable for transmission, this is known as modulation. Popular analog modulation techniques include amplitude modulation and frequency modulation. The choice of modulation affects the cost and performance of a system and these two factors must be balanced carefully by the engineer.

Once the transmission characteristics of a system are determined, telecommunication engineers design the transmitters and receivers needed for such systems. These two are sometimes combined to form a two-way communication device known as a transceiver. A key consideration in the design of transmitters is their power consumption as this is closely related to their signal strength. If the signal strength of a transmitter is insufficient the signal's information will be corrupted by noise.

**Control engineering** has a wide range of applications from the flight and propulsion systems of commercial airplanes to the cruise control present in many modern cars. It also plays an important role in industrial automation.

Control engineers often utilize feedback when designing control systems. For example, in a car with cruise control the vehicle's speed is continuously monitored and fed back to the system which adjusts the engine's power output accordingly. Where there is regular feedback, control theory can be used to determine how the system responds to such feedback.

**Instrumentation engineering** deals with the design of devices to measure physical quantities such as pressure, flow and temperature. These devices are known as instrumentation.

The design of such instrumentation requires a good understanding of physics that often extends beyond electromagnetic theory. For example, radar guns use the Doppler effect to measure the speed of oncoming vehicles. Similarly, thermocouples use the Peltier-Seebeck effect to measure the temperature difference between two points.

Often instrumentation is not used by itself, but instead as the sensors of larger electrical systems. For example, a thermocouple might be used to help ensure a furnace's

temperature remains constant. For this reason, instrumentation engineering is often viewed as the counterpart of control engineering.

**Computer engineering** deals with the design of computers and computer systems. This may involve the design of new hardware, the design of PDAs or the use of computers to control an industrial plant. Computer engineers may also work on a system's software. However, the design of complex software systems is often the domain of software engineering, which is usually considered a separate discipline.

Desktop computers represent a tiny fraction of the devices a computer engineer might work on, as computer-like architectures are now found in a range of devices including video game consoles and DVD players.

### **Project engineering**

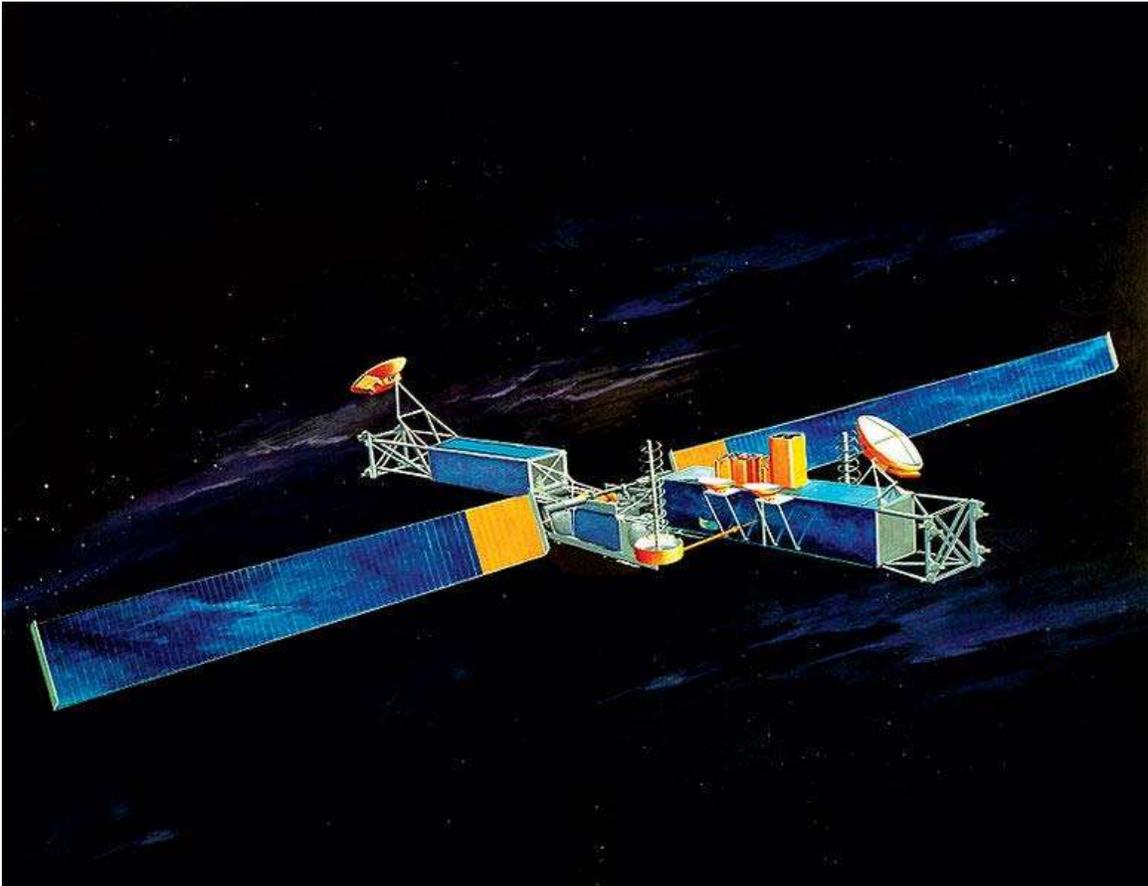
For most engineers not involved at the cutting edge of system design and development, technical work accounts for only a fraction of the work they do. A lot of time is also spent on tasks such as discussing proposals with clients, preparing budgets and determining project schedules. Many senior engineers manage a team of technicians or other engineers and for this reason project management skills are important. Most engineering projects involve some form of documentation and strong written communication skills are therefore very important.

The workplaces of electronics engineers are just as varied as the types of work they do. Electronics engineers may be found in the pristine laboratory environment of a fabrication plant, the offices of a consulting firm or in a research laboratory. During their working life, electronics engineers may find themselves supervising a wide range of individuals including scientists, electricians, computer programmers and other engineers.

Obsolescence of technical skills is a serious concern for electronics engineers. Membership and participation in technical societies, regular reviews of periodicals in the field and a habit of continued learning are therefore essential to maintaining proficiency. And these are mostly used in the field of consumer electronics products.

## Chapter- 5

# Telecommunications Engineering



Milstar

**Telecommunications engineering** or **telecom engineering** is a major field within Electronic engineering. The work ranges from basic circuit design to strategic mass developments. A telecommunication engineer is responsible for designing and overseeing the installation of telecommunications equipment and facilities, such as complex electronic switching systems, copper telephone facilities, and fiber optics. Telecom engineering also overlaps heavily with broadcast engineering.

Telecommunication is a diverse field of engineering including electronics, civil, structural, and electrical engineering as well as being a political and social ambassador, a little bit of accounting and a lot of project management. Ultimately, telecom engineers are responsible for providing the method that customers can get telephone and high speed data services.

Telecom engineers use a variety of different equipment and transport media available from a multitude of manufacturers to design the telecom network infrastructure. The most common media, often referred to as plant in the telecom industry, used by telecommunications companies today are copper, coaxial cable, fiber, and radio.

Telecom engineers are often expected, as most engineers are, to provide the best solution possible for the lowest cost to the company. This often leads to creative solutions to problems that often would have been designed differently without the budget constraints dictated by modern society. In the earlier days of the telecom industry massive amounts of cable were placed that were never used or have been replaced by modern technology such as fiber optic cable and digital multiplexing techniques.

Telecom engineers are also responsible for keeping the records of the companies' equipment and facilities and assigning appropriate accounting codes for purposes of taxes and maintenance. As telecom engineers responsible for budgeting and overseeing projects and keeping records of equipment, facilities and plant the telecom engineer is not only an engineer but an accounting assistant or bookkeeper (if not an accountant) and a project manager as well.

This article provides an overview of the major field, telecommunications engineering. Readers might be interested to have a look at electronic engineering and broadcast engineering which are heavily related to telecommunications engineering and often taught together in different academic institutes.

## **Telecom equipment engineer**

A telecom equipment engineer is an electronics engineer that designs equipment such as routers, switches, multiplexers, and other specialized computer/electronics equipment designed to be used in the telecommunication network infrastructure.

## **Central-office engineer**

A Central-office engineer is responsible for designing and overseeing the implementation of telecommunications equipment in a central office (CO for short), also referred to as a wire center or telephone exchange. A CO engineer is responsible for integrating new technology into the existing network, assigning the equipments location in the wire center and providing power, clocking (for digital equipment) and alarm monitoring facilities for the new equipment. The CO engineer is also responsible for providing more power, clocking, and alarm monitoring facilities if there isn't currently enough available to

support the new equipment being installed. Finally, the CO Engineer is responsible for designing how the massive amounts of cable will be distributed to various equipment and wiring frames throughout the wire center and overseeing the installation and turn up of all new equipment.

As structural engineers, CO engineers are responsible for the structural design and placement of racking and bays for the equipment to be installed in as well as for the plant to be placed on.

As electrical engineers, CO engineers are responsible for the resistance, capacitance, and inductance (RCL) design of all new plant to ensure telephone service is clear and crisp and data service is clean as well as reliable. Attenuation and loop loss calculations are required to determine cable length and size required to provide the service called for. In addition power requirements have to be calculated and provided for to power any electronic equipment being placed in the wire center.

Overall, CO engineers have seen new challenges emerging in the CO environment. With the advent of Data Centers, Internet Protocol (IP) facilities, cellular radio sites, and other emerging-technology equipment environments within telecommunication networks, it is important that a consistent set of established practices or requirements be implemented.

Installation Suppliers or their sub-contractors are expected to provide requirements with their products, features, or services. These services might be associated with the installation of new or expanded equipment, as well as the removal of existing equipment.

Several other factors must be considered such as:

- Regulations and safety in installation
- Removal of hazardous material
- Commonly used tools to perform installation and removal of equipment

Telcordia GR-1275, Central Office/Network Environment Equipment Installation/Removal, provides over 1000 requirements for the CO detail engineer. Developed with Service Provider input, GR-1275 covers new information on federal asbestos regulations, safety in the use of tools, wire-wrap uniformity, grounding conductor placement, protection of both metallic and optical conductors, and cabling under raised floors.

GR-1502, Central Office/Network Environment Detail Engineering Generic Requirements, is a companion document to GR-1275 and provides proposed engineering generic requirements that Detail Engineering Service Providers (DESPs) are expected to provide with their services. Adherence to these generic requirements helps ensure that newly installed equipment operates in accordance with design parameters in owned or leased telecommunications equipment buildings of the Telecommunications Carrier (TC), and to ensure that equipment is installed in a safe and efficient manner. These proposed

engineering and documentation generic requirements are the criteria to which DESPs may be compared for job acceptance purposes.

The proposed generic engineering requirements contained in this document are intended to be applicable to all types of engineered telecommunications equipment, i.e., switching, transmission, and common systems; and include frame, circuit-protection devices, and power, etc. However, this document is *not all-inclusive; additional engineering guidance may be required to engineer a specific piece of equipment, or to meet additional regional practices or requirements.*

## **Outside-plant engineer**

Outside plant (OSP) engineers are also often called field engineers as they often spend a great deal of time in the field taking notes about the civil environment, aerial, above ground, and below ground. OSP engineers are responsible for taking plant (copper, fiber, etc.) from a wire center to a distribution point or destination point directly. If a distribution point design is used then a cross connect box is placed in a strategic location to feed a determined distribution area.

The cross-connect box, also known as a service area interface is then installed to allow connections to be made more easily from the wire center to the destination point and ties up fewer facilities by not having dedication facilities from the wire center to every destination point. The plant is then taken directly to its destination point or to another small closure called a pedestal where access can also be gained to the plant if necessary. These access points are preferred as they allow faster repair times for customers and save telephone operating companies large amounts of money.

The plant facilities can be delivered via underground facilities, either direct buried or through conduit or in some cases laid under water, via aerial facilities such as telephone or power poles, or via microwave radio signals for long distances where either of the other two methods is too costly.

As structural engineers, OSP engineers are responsible for the structural design and placement of cellular towers and telephone poles as well as calculating pole capabilities of existing telephone or power poles new plant is being added onto. Structural calculations are required when boring under heavy traffic areas such as highways or when attaching to other structures such as bridges. Shoring also has to be taken into consideration for larger trenches or pits. Conduit structures often include encasements of slurry that needs to be designed to support the structure and withstand the environment around it (soil type, high traffic areas, etc.).

As electrical engineers, OSP engineers are responsible for the resistance, capacitance, and inductance (RCL) design of all new plant to ensure telephone service is clear and crisp and data service is clean as well as reliable. Attenuation and loop loss calculations are required to determine cable length and size required to provide the service called for. In addition power requirements have to be calculated and provided for to power any

electronic equipment being placed in the field. Ground potential has to be taken into consideration when placing equipment, facilities, and plant in the field to account for lightning strikes, high voltage intercept from improperly grounded or broken power company facilities, and from various sources of electromagnetic interference.

As civil engineers, OSP engineers are responsible for drawing up plans, either by hand or using Computer Aided Drafting (CAD) software, for how telecom plant facilities will be placed. Often when working with municipalities trenching or boring permits are required and drawings must be made for these. Often these drawings include about 70% or so of the detailed information required to pave a road or add a turn lane to an existing street. Structural calculations are required when boring under heavy traffic areas such as highways or when attaching to other structures such as bridges. As Civil Engineers Telecom Engineers provide the modern communications backbone for all technological communications distributed throughout civilizations today.

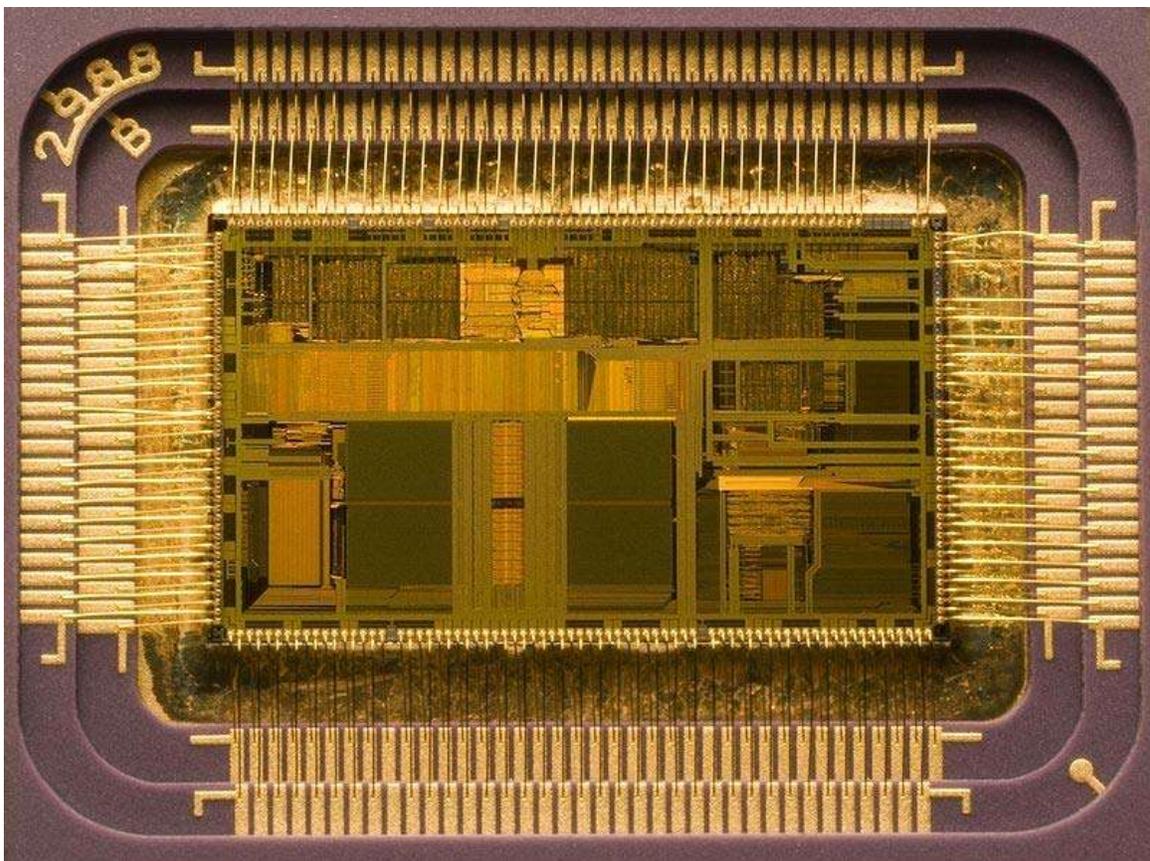
Unique to telecom engineering is the use of air core cable which requires an extensive network of air handling equipment such as compressors, manifolds, regulators and hundreds of miles of air pipe per system that connects to pressurized splice cases all designed to pressurize this special form of copper cable to keep moisture out and provide a clean signal to the customer.

As political and social ambassador, the OSP Engineer is the telephone operating companies' face and voice to the local authorities and other utilities. OSP engineers often meet with municipalities, construction companies and other utility companies to address their concerns and educate them about how the telephone utility works and operates. Additionally, the OSP engineer has to secure real estate to place outside facilities on, such as an easement to place a cross-connect box on.

## Chapter- 6

# Microelectronics and Signal Processing

## Microelectronics



Microprocessor

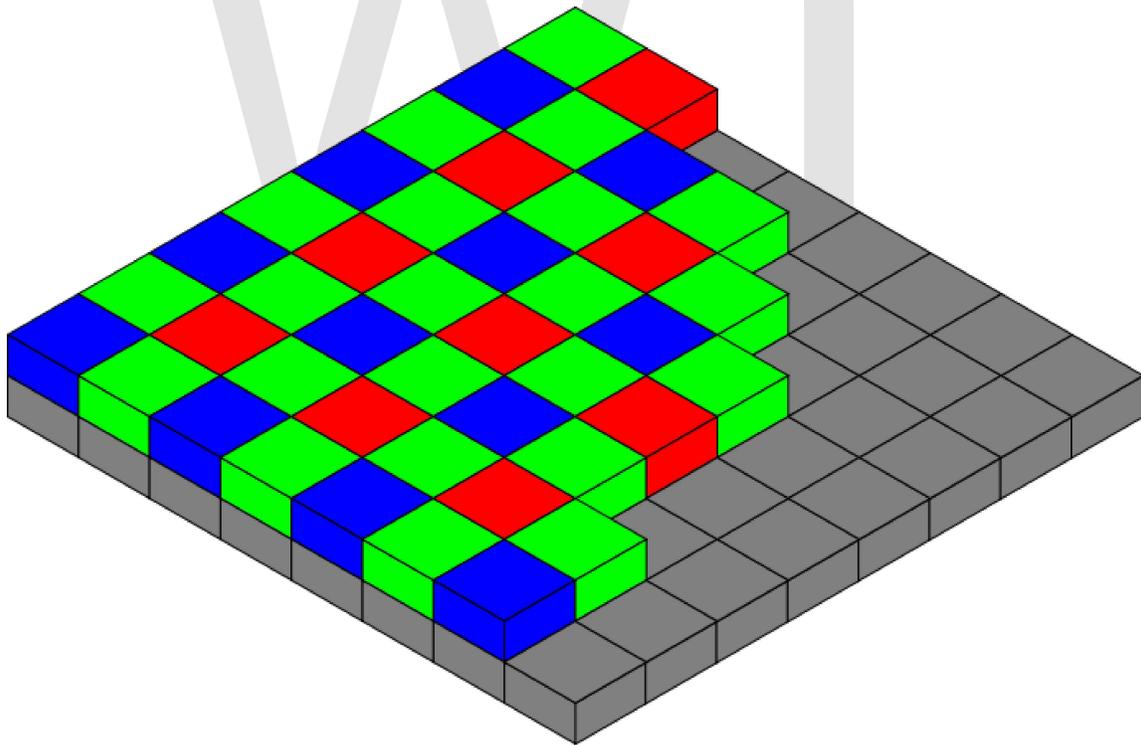
**Microelectronics** is a subfield of electronics. Microelectronics, as the name suggests, is related to the study and manufacture, or microfabrication, of electronic components which are very small (usually micrometre-scale or smaller, but not always). These devices are made from semiconductors. Many components of normal electronic design are available in microelectronic equivalent: transistors, capacitors, inductors, resistors, diodes and of course insulators and conductors can all be found in microelectronic

devices. Unique wiring techniques such as wire bonding are also often used in microelectronics because of the unusually small size of the components, leads and pads. This technique requires specialized equipment.

Digital integrated circuits (ICs) consist mostly of transistors. Analog circuits commonly contain resistors and capacitors as well. Inductors are used in some high frequency analog circuits, but tend to occupy large chip area if used at low frequencies; gyrators can replace them in many applications.

As techniques improve, the scale of microelectronic components continues to decrease. At smaller scales, the relative impact of intrinsic circuit properties such as interconnections may become more significant. These are called **parasitic effects**, and the goal of the microelectronics design engineer is to find ways to compensate for or to minimize these effects, while always delivering smaller, faster, and cheaper devices.

## Signal Processing



A Bayer filter on a CCD requires signal processing to get a red, green, and blue value at each pixel.

**Signal processing** is an area of electrical engineering and applied mathematics that deals with operations on or analysis of signals, in either discrete or continuous time, to perform useful operations on those signals. Signals of interest can include sound, images, time-varying measurement values and sensor data, for example biological data such as electrocardiograms, control system signals, telecommunication transmission signals such as radio signals, and many others. Signals are analog or digital electrical representations of time-varying or spatial-varying physical quantities. In the context of signal processing, arbitrary binary data streams and on-off signals are not considered as signals, but only analog and digital signals that are representations of analog physical quantities.

## Typical operations and applications

Processing of signals includes the following operations and algorithms with application examples:

- Filtering (for example in tone controls and equalizers)
- Smoothing, deblurring (for example in image enhancement)
- Adaptive filtering (for example for echo-cancellation in a conference telephone, or denoising for aircraft identification by radar)
- Spectrum analysis (for example in magnetic resonance imaging, tomographic reconstruction and OFDM modulation)
- Digitization, reconstruction and compression (for example, image compression, sound coding and other source coding)
- Storage (in digital delay lines and reverb)
- Feature extraction (for example speech-to-text conversion)
- Modulation (in modems)
- Wavetable synthesis (in modems and music synthesizers)
- Prediction
- System identification and classification
- A variety of other operations

In communication systems, signal processing may occur at OSI layer 1, the Physical Layer (modulation, equalization, multiplexing, etc) in the seven layer OSI model, as well as at OSI layer 6, the Presentation Layer (source coding, including analog-to-digital conversion and data compression).

## History

According to Alan V. Oppenheim and Ronald W. Schaffer, the principles of signal processing can be found in the classical numerical analysis techniques of the 17th century. They further state that the "digitalization" or digital refinement of these techniques can be found in the digital control systems of the 1940s and 1950s.

## Mathematical topics embraced by signal processing

- Linear signals and systems, and transform theory
- Calculus
- Vector spaces and Linear algebra
- Functional analysis
- Probability and stochastic processes
- Detection theory
- Estimation theory
- Optimization
- Programming
- Numerical methods
- Iterative methods

## Categories of signal processing

### Analog signal processing

Analog signal processing is for signals that have not been digitized, as in classical radio, telephone, radar, and television systems. This involves linear electronic circuits such as passive filters, active filters, additive mixers, integrators and delay lines. It also involves non-linear circuits such as compandors, multipliers (frequency mixers and voltage-controlled amplifiers), voltage-controlled filters, voltage-controlled oscillators and phase-locked loops.

### Discrete time signal processing

Discrete time signal processing is for sampled signals that are considered as defined only at discrete points in time, and as such are quantized in time, but not in magnitude.

*Analog discrete-time signal processing* is a technology based on electronic devices such as sample and hold circuits, analog time-division multiplexers, analog delay lines and analog feedback shift registers. This technology was a predecessor of digital signal processing (see below), and is still used in advanced processing of gigahertz signals.

The concept of discrete-time signal processing also refers to a theoretical discipline that establishes a mathematical basis for digital signal processing, without taking quantization error into consideration.

### Digital signal processing

Digital signal processing is for signals that have been digitized. Processing is done by general-purpose computers or by digital circuits such as ASICs, field-programmable gate arrays or specialized digital signal processors (DSP chips). Typical arithmetical operations include fixed-point and floating-point, real-valued and complex-valued,

multiplication and addition. Other typical operations supported by the hardware are circular buffers and look-up tables. Examples of algorithms are the Fast Fourier transform (FFT), finite impulse response (FIR) filter, Infinite impulse response (IIR) filter, Wiener filter, Adaptive filter and Kalman filter.

## Fields of signal processing

- Statistical signal processing — analyzing and extracting information from signals and noise based on their stochastic properties
- Audio signal processing — for electrical signals representing sound, such as speech or music
- Speech signal processing — for processing and interpreting spoken words
- Image processing — in digital cameras, computers, and various imaging systems
- Video processing — for interpreting moving pictures
- Array processing — for processing signals from arrays of sensors
- Time-frequency signal processing — for processing non-stationary signals
- Filtering — used in many fields to process signals
- Seismic signal processing
- Data mining

## Related disciplines

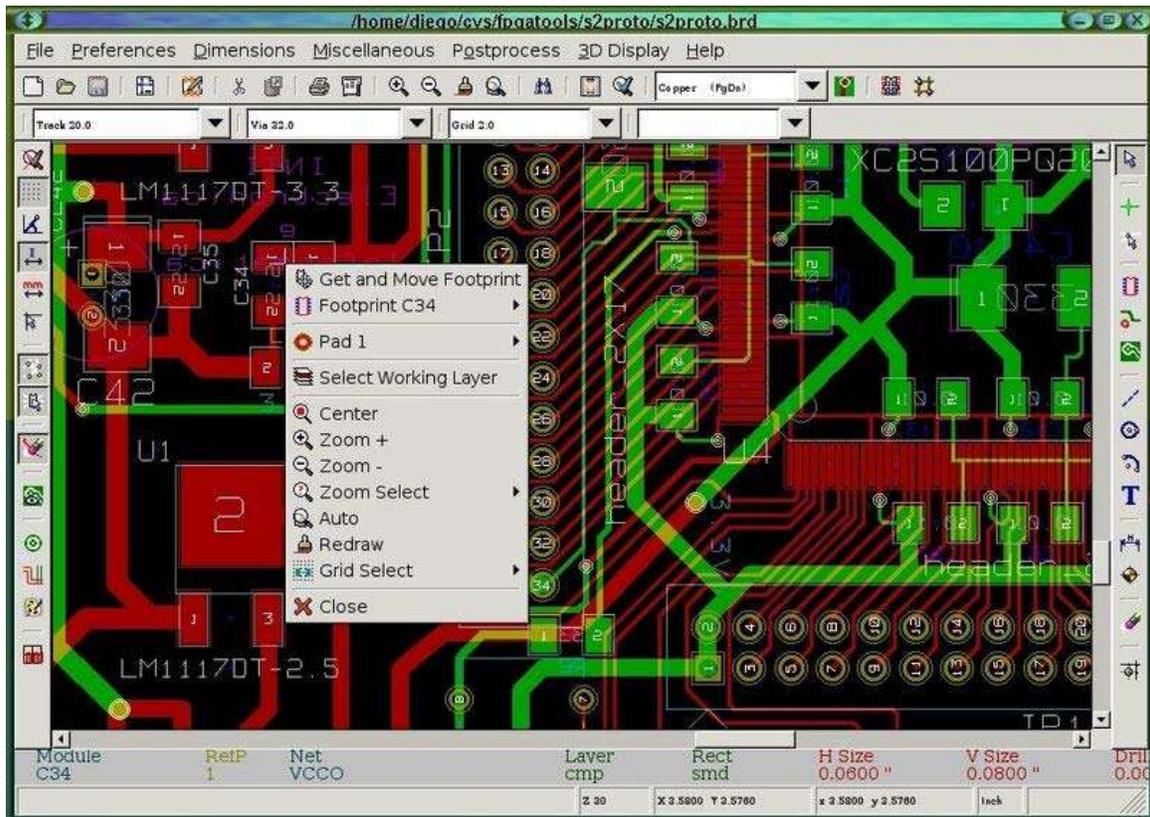
Mechatronics is an engineering discipline which deals with the convergence of electrical and mechanical systems. Such combined systems are known as electromechanical systems and have widespread adoption. Examples include automated manufacturing systems, heating, ventilation and air-conditioning systems and various subsystems of aircraft and automobiles.

The term *mechatronics* is typically used to refer to macroscopic systems but futurists have predicted the emergence of very small electromechanical devices. Already such small devices, known as Microelectromechanical systems (MEMS), are used in automobiles to tell airbags when to deploy, in digital projectors to create sharper images and in inkjet printers to create nozzles for high definition printing. In the future it is hoped the devices will help build tiny implantable medical devices and improve optical communication.

Biomedical engineering is another related discipline, concerned with the design of medical equipment. This includes fixed equipment such as ventilators, MRI scanners and electrocardiograph monitors as well as mobile equipment such as cochlear implants, artificial pacemakers and artificial hearts.

## Chapter- 7

# Electronic Design Automation



PCB layout program

**Electronic design automation (EDA or ECAD)** is a category of software tools for designing electronic systems such as printed circuit boards and integrated circuits. The tools work together in a design flow that chip designers use to design and analyze entire semiconductor chips.

# History

## Early days

Before EDA, integrated circuits were designed by hand, and manually laid out. Some advanced shops used geometric software to generate the tapes for the Gerber photoplotter, but even those copied digital recordings of mechanically-drawn components. The process was fundamentally graphic, with the translation from electronics to graphics done manually. The best known company from this era was Calma, whose GDSII format survives.

By the mid-70s, developers started to automate the design, and not just the drafting. The first placement and routing (Place and route) tools were developed. The proceedings of the Design Automation Conference cover much of this era.

The next era began about the time of the publication of "Introduction to VLSI Systems" by Carver Mead and Lynn Conway in 1980. This ground breaking text advocated chip design with programming languages that compiled to silicon. The immediate result was a considerable increase in the complexity of the chips that could be designed, with improved access to design verification tools that used logic simulation. Often the chips were easier to lay out and more likely to function correctly, since their designs could be simulated more thoroughly prior to construction. Although the languages and tools have evolved, this general approach of specifying the desired behavior in a textual programming language and letting the tools derive the detailed physical design remains the basis of digital IC design today.

The earliest EDA tools were produced academically. One of the most famous was the "Berkeley VLSI Tools Tarball", a set of UNIX utilities used to design early VLSI systems. Still widely used is the Espresso heuristic logic minimizer and Magic.

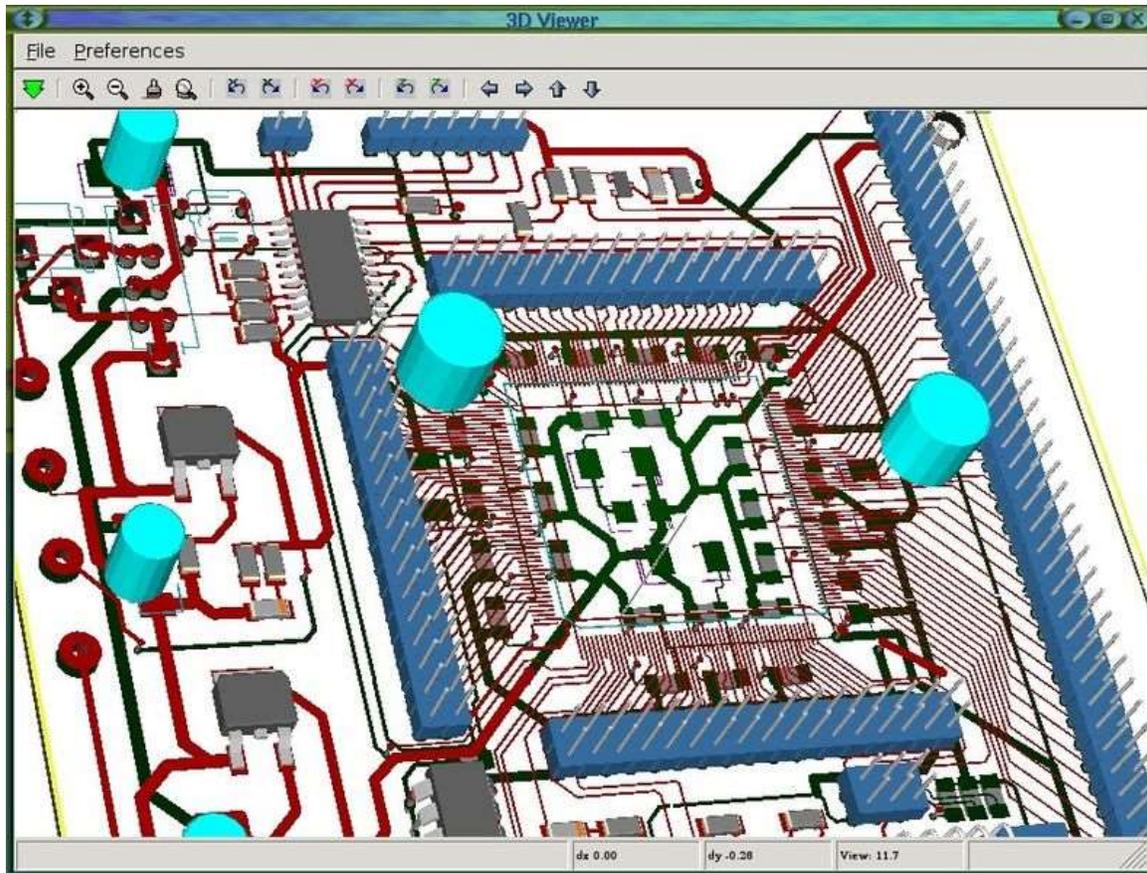
Another crucial development was the formation of MOSIS, a consortium of universities and fabricators that developed an inexpensive way to train student chip designers by producing real integrated circuits. The basic concept was to use reliable, low-cost, relatively low-technology IC processes, and pack a large number of projects per wafer, with just a few copies of each projects' chips. Cooperating fabricators either donated the processed wafers, or sold them at cost, seeing the program as helpful to their own long-term growth.

## Birth of commercial EDA

1981 marks the beginning of EDA as an industry. For many years, the larger electronic companies, such as Hewlett Packard, Tektronix, and Intel, had pursued EDA internally. In 1981, managers and developers spun out of these companies to concentrate on EDA as a business. Daisy Systems, Mentor Graphics, and Valid Logic Systems were all founded around this time, and collectively referred to as **DMV**. Within a few years there were

many companies specializing in EDA, each with a slightly different emphasis. The first trade show for EDA was held at the Design Automation Conference in 1984.

In 1986, Verilog, a popular high-level design language, was first introduced as a hardware description language by Gateway Design Automation. In 1987, the U.S. Department of Defense funded creation of VHDL as a specification language. Simulators quickly followed these introductions, permitting direct simulation of chip designs: executable specifications. In a few more years, back-ends were developed to perform logic synthesis.



3D PCB layout

### **Current status**

Current digital flows are extremely modular. The front ends produce standardized design descriptions that compile into invocations of "cells," without regard to the cell technology. Cells implement logic or other electronic functions using a particular integrated circuit technology. Fabricators generally provide libraries of components for their production processes, with simulation models that fit standard simulation tools. Analog EDA tools are far less modular, since many more functions are required, they interact more strongly, and the components are (in general) less ideal.

EDA for electronics has rapidly increased in importance with the continuous scaling of semiconductor technology. Some users are foundry operators, who operate the semiconductor fabrication facilities, or "fabs", and design-service companies who use EDA software to evaluate an incoming design for manufacturing readiness. EDA tools are also used for programming design functionality into FPGAs.

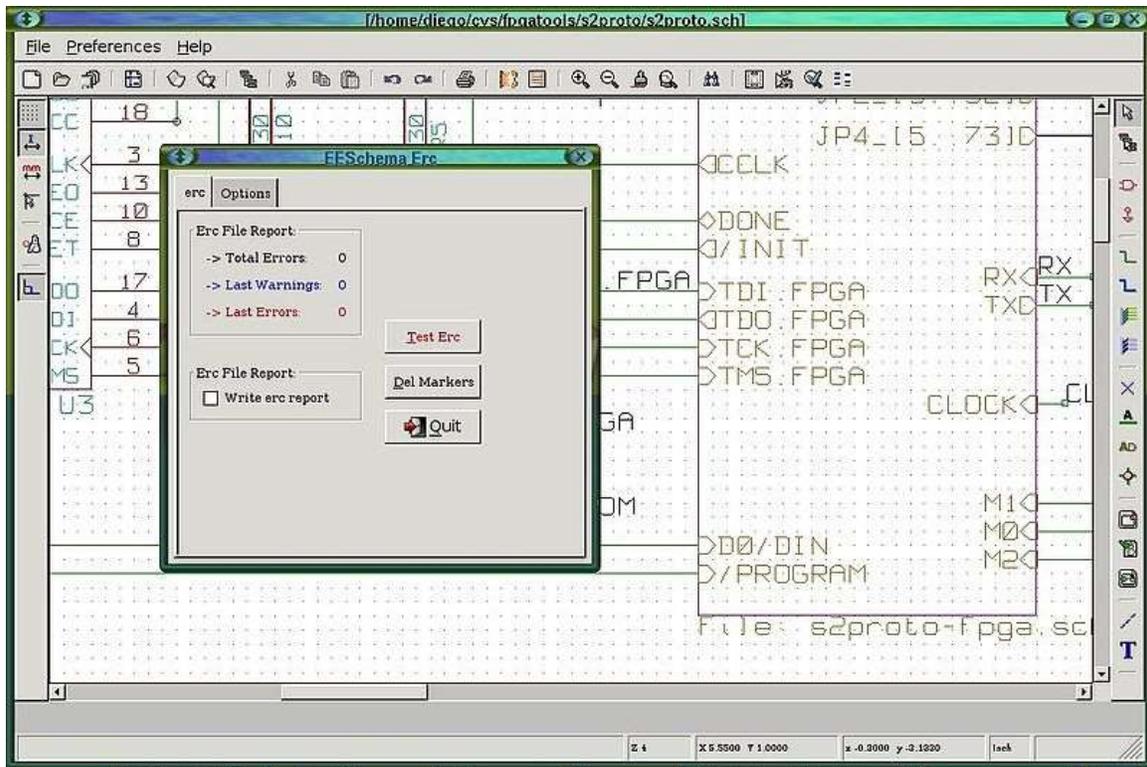
## Software focuses

### Design

- High-level synthesis(syn. behavioural synthesis, algorithmic synthesis) For digital chips
- Logic synthesis translation of abstract, logical language such as Verilog or VHDL into a discrete netlist of logic-gates
- Schematic Capture For standard cell digital, analog, rf like Capture CIS in Orcad by CADENCE and ISIS in Proteus
- Layout like Layout in Orcad by Cadence, ARES in Proteus

### Simulation

- Transistor simulation – low-level transistor-simulation of a schematic/layout's behavior, accurate at device-level.
- Logic simulation – digital-simulation of an RTL or gate-netlist's digital (boolean 0/1) behavior, accurate at boolean-level.
- **Behavioral Simulation** – high-level simulation of a design's architectural operation, accurate at cycle-level or interface-level.
- Hardware emulation – Use of special purpose hardware to emulate the logic of a proposed design. Can sometimes be plugged into a system in place of a yet-to-be-built chip; this is called **in-circuit emulation**.
- Technology CAD simulate and analyze the underlying process technology. Electrical properties of devices are derived directly from device physics.
- Electromagnetic field solvers, or just field solvers, solve Maxwell's equations directly for cases of interest in IC and PCB design. They are known for being slower but more accurate than the layout extraction above.



Schematic capture program

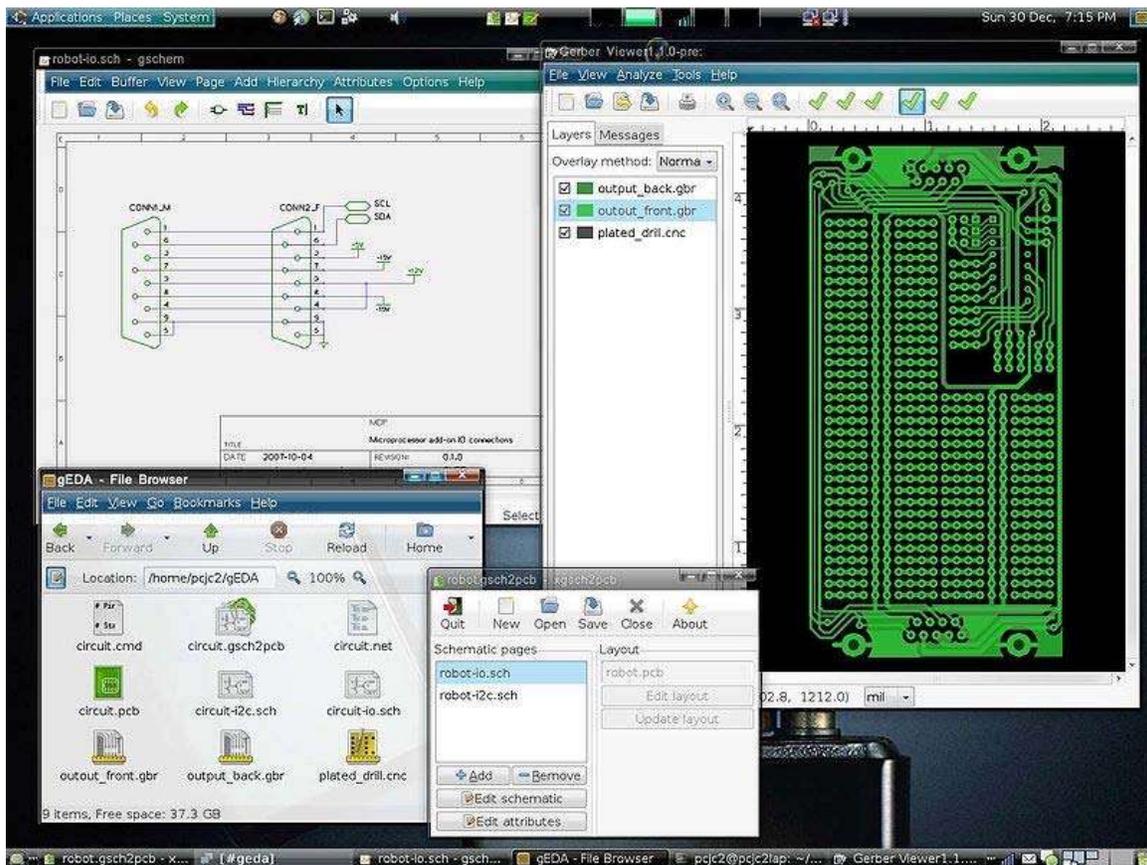
## Analysis and verification

- Functional verification
- Clock Domain Crossing Verification (CDC check): Similar to linting, but these checks/tools specialize in detecting and reporting potential issues like data loss, meta-stability due to use of multiple clock domains in the design.
- Formal verification, also model checking: Attempts to prove, by mathematical methods, that the system has certain desired properties, and that certain undesired effects (such as deadlock) cannot occur.
- Equivalence checking: algorithmic comparison between a chip's RTL-description and synthesized gate-netlist, to ensure functional equivalence at the *logical* level.
- Static timing analysis: Analysis of the timing of a circuit in an input-independent manner, hence finding a worst case over all possible inputs.
- Physical verification, PV: checking if a design is physically manufacturable, and that the resulting chips will not have any function-preventing physical defects, and will meet original specifications.

## Manufacturing preparation

- Mask data preparation, MDP: generation of actual lithography photomask used to physically manufacture the chip.

- Resolution enhancement techniques, RET – methods of increasing of quality of final photomask.
- Optical proximity correction, OPC – up-front compensation for diffraction and interference effects occurring later when chip is manufactured using this mask.
- Mask generation – generation of flat mask image from hierarchical design.
- Automatic test pattern generation, ATPG – generates pattern-data to systematically exercise as many logic-gates, and other components, as possible.
- Built-in self-test, or BIST – installs self-contained test-controllers to automatically test a logic (or memory) structure in the design



PCB layout and schematic for connector design

## Companies

### Top companies

- \$3.41 billion - Synopsys
- \$1.82 billion - Cadence
- \$806 million - Mentor Graphics

- \$188 million - Zuken Inc.
- \$135 million - Magma Design Automation

Note: Market caps current as of March, 2010. EEsof should likely be on this list, but does not have a market cap as it is the EDA division of Agilent.

## **Acquisitions**

Many of the EDA companies acquire small companies with software or other technology that can be adapted to their core business. Most of the market leaders are rather incestuous amalgamations of many smaller companies. This trend is helped by the tendency of software companies to design tools as accessories that fit naturally into a larger vendor's suite of programs (on digital circuitry, many new tools incorporate analog design, and mixed systems. This is happening because there is now a trend to place entire electronic systems on a single chip.

WWT